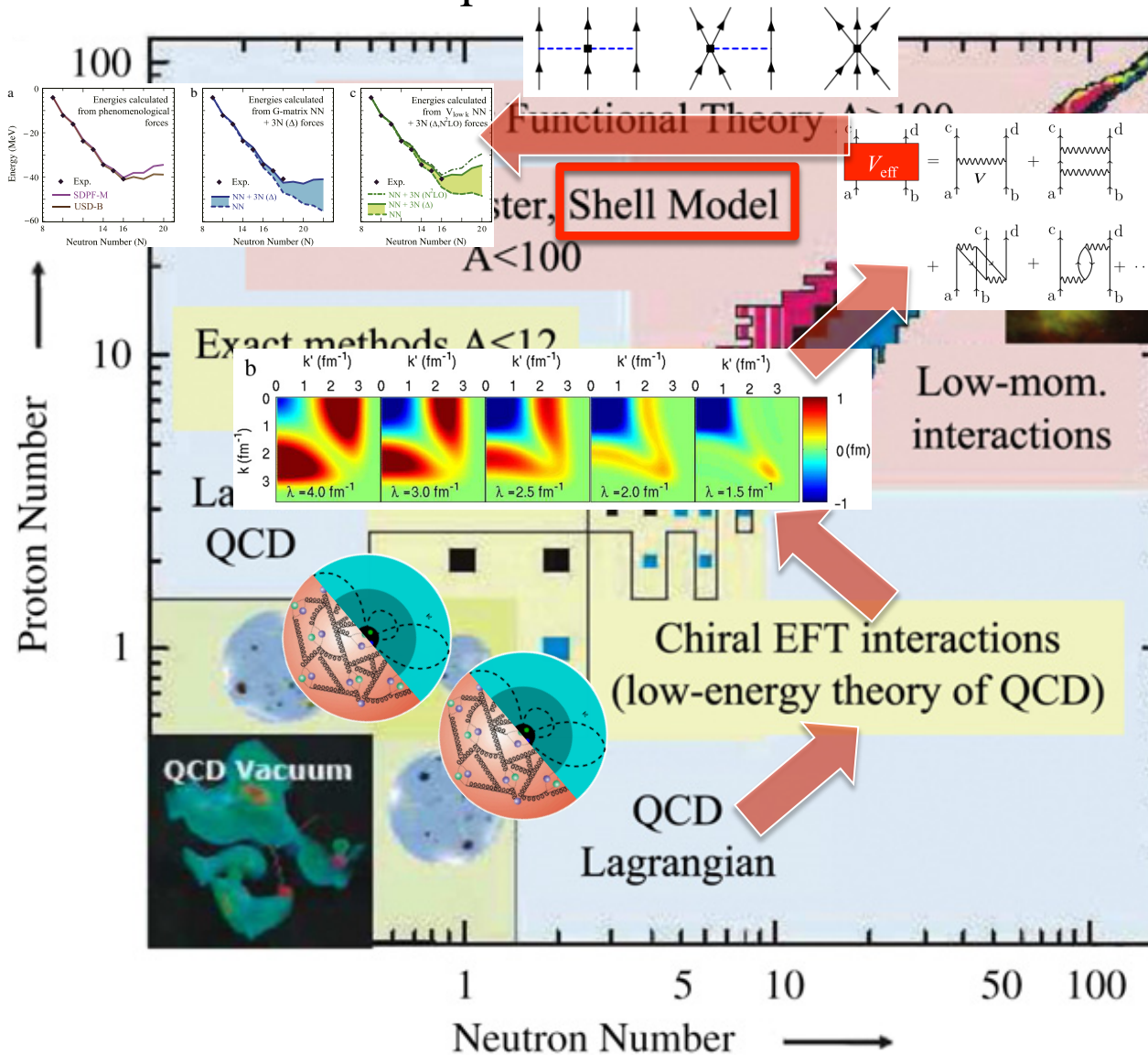


# Part IV: Three-Nucleon Forces to Nuclei

# To understand the properties of complex nuclei from first principles



## Three-Nucleon Forces

## Basic ideas – why needed?

## 3N from chiral EFT

## Implementing in shell model

## Relation to monopoles

## Predictions/new discoveries

## Connections beyond structure

## How will we approach this problem:

**QCD  $\rightarrow$  NN (3N) forces  $\rightarrow$  Renormalize  $\rightarrow$  “Solve” many-body problem  $\rightarrow$  Predictions**

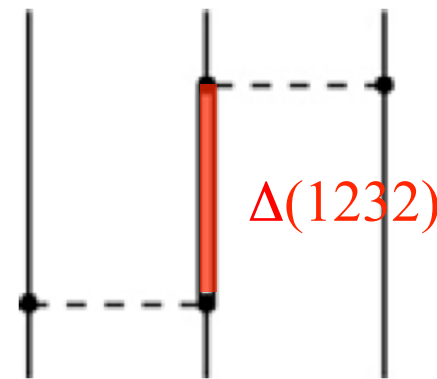
# Chiral Effective Field Theory: Nuclear Forces

	NN	3N	4N
LO $O(\frac{Q^0}{\Lambda^0})$			
NLO $O(\frac{Q^2}{\Lambda^2})$			
N <sup>2</sup> LO $O(\frac{Q^3}{\Lambda^3})$			
N <sup>3</sup> LO $O(\frac{Q^4}{\Lambda^4})$			

Nucleons interact via pion exchanges and contact interactions

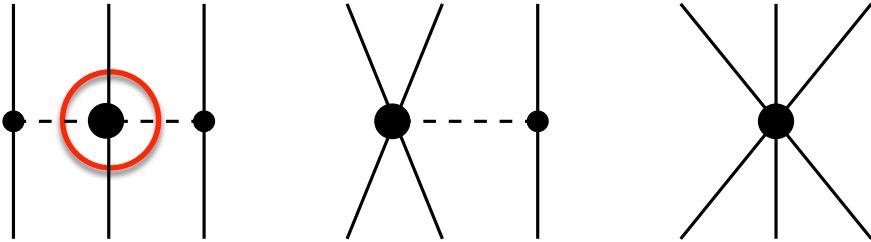
Consistent treatment of NN, 3N,...

NN couplings fit to scattering data



# Chiral EFT: N<sup>2</sup>LO 3N

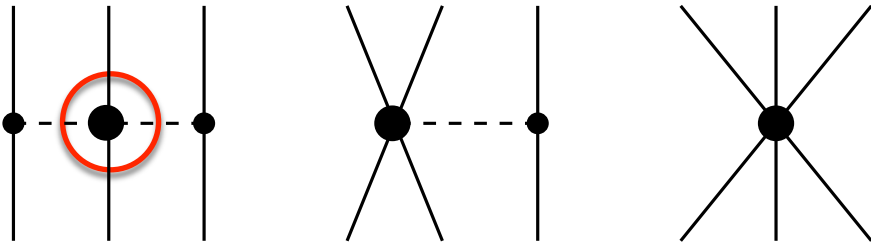
First non-vanishing 3N contributions: Next-to-next-to-leading order  $\nu = 3$



$$\begin{aligned}
 V_{3N}^{(3)} = & \frac{g_A^2}{8F_\pi^4} \frac{\vec{\sigma}_1 \cdot \vec{q}_1 \vec{\sigma}_3 \cdot \vec{q}_3}{[q_1^2 + M_\pi^2][q_3^2 + M_\pi^2]} [\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_3 (-4c_1 M_\pi^2 \\
 & + 2c_3 \vec{q}_1 \cdot \vec{q}_3) + c_4 \boldsymbol{\tau}_1 \times \boldsymbol{\tau}_3 \cdot \boldsymbol{\tau}_2 \vec{q}_1 \times \vec{q}_3 \cdot \vec{\sigma}_2] \\
 & - \frac{g_A D}{8F_\pi^2} \frac{\vec{\sigma}_3 \cdot \vec{q}_3}{q_3^2 + M_\pi^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_3 \vec{\sigma}_1 \cdot \vec{q}_3 + \frac{1}{2} E \boldsymbol{\tau}_2 \cdot \boldsymbol{\tau}_3
 \end{aligned}$$

# Chiral EFT: N<sup>2</sup>LO 3N

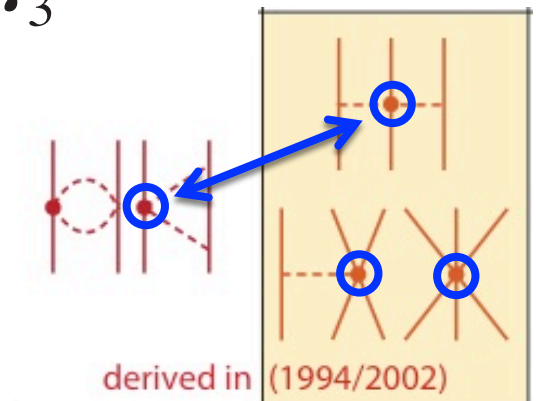
First non-vanishing 3N contributions: Next-to-next-to-leading order  $\nu = 3$



$$V_{3N}^{(3)} = \frac{g_A^2}{8F_\pi^4} \frac{\vec{\sigma}_1 \cdot \vec{q}_1 \vec{\sigma}_3 \cdot \vec{q}_3}{[q_1^2 + M_\pi^2][q_3^2 + M_\pi^2]} [\boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_3 (-4c_1 M_\pi^2 + 2c_3 \vec{q}_1 \cdot \vec{q}_3) + c_4 \boldsymbol{\tau}_1 \times \boldsymbol{\tau}_3 \cdot \boldsymbol{\tau}_2 \vec{q}_1 \times \vec{q}_3 \cdot \vec{\sigma}_2]$$

$$- \frac{g_A D}{8F_\pi^2} \frac{\vec{\sigma}_3 \cdot \vec{q}_3}{q_3^2 + M_\pi^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_3 \vec{\sigma}_1 \cdot \vec{q}_3 + \frac{1}{2} E \boldsymbol{\tau}_2 \cdot \boldsymbol{\tau}_3$$

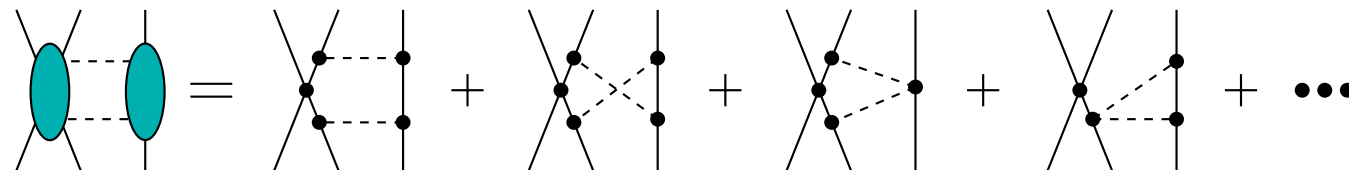
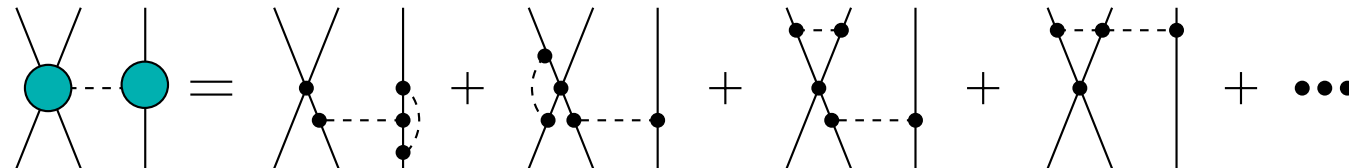
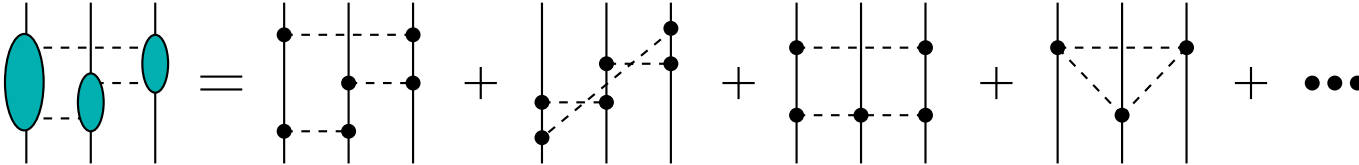
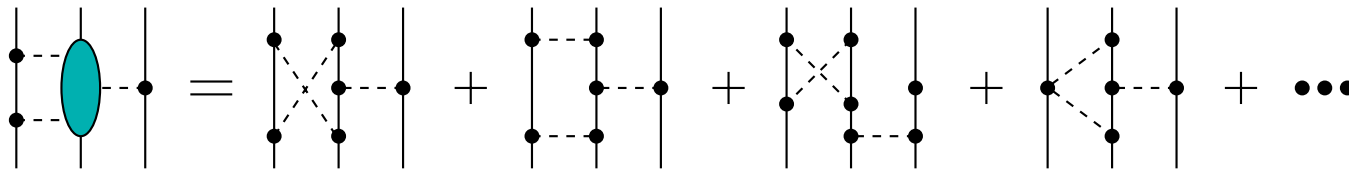
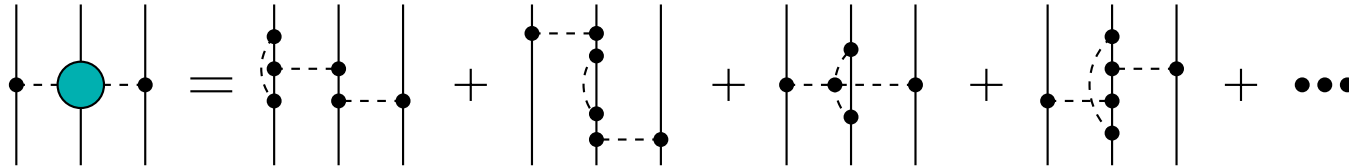
Three undetermined  $\pi$ N couplings from NN fit





# Chiral EFT: $N^3\text{LO}$ 3N

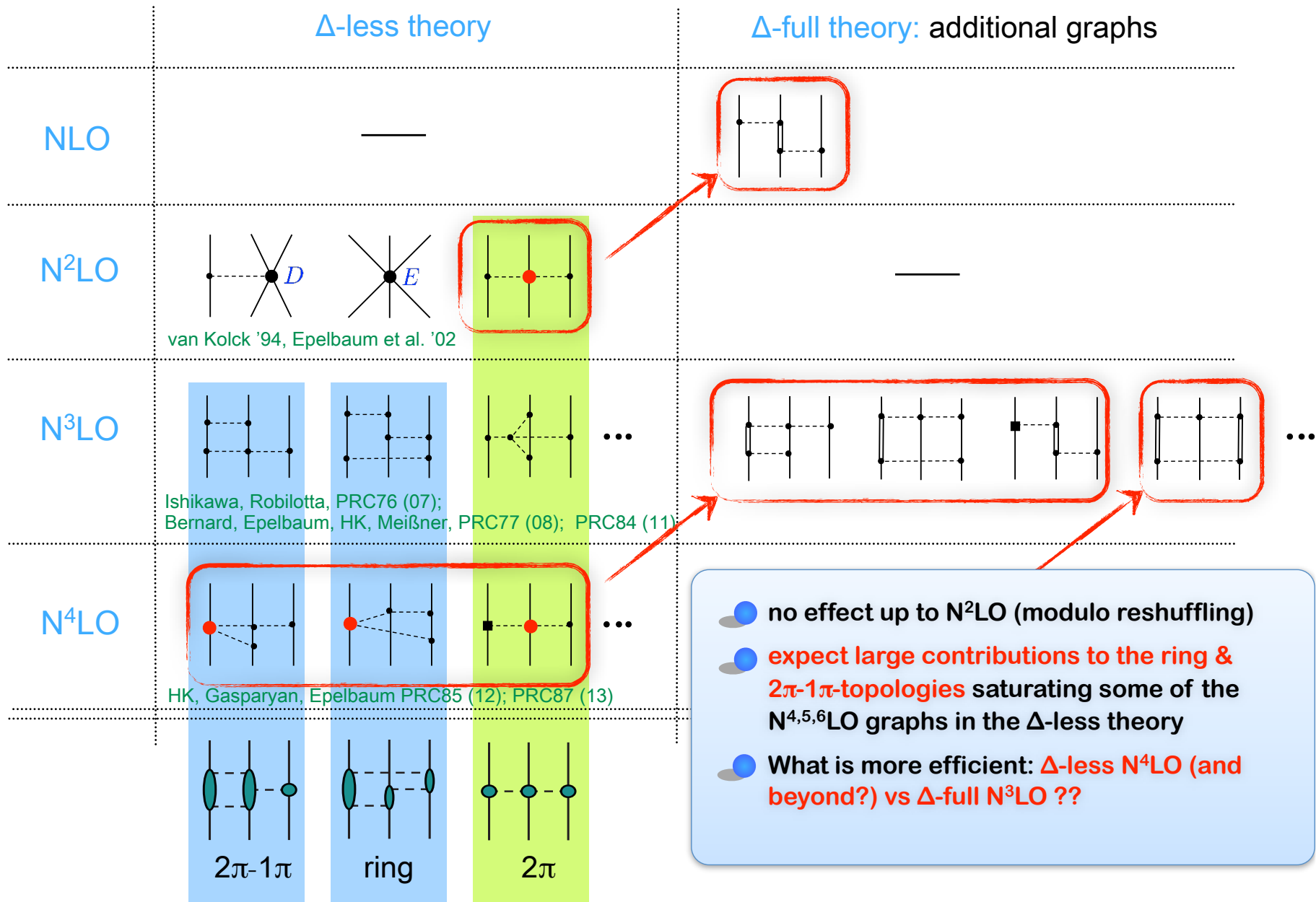
Next-to-next-to-next-to-leading order  $\nu = 4$



Good news: **no new constants**

Bad news: well, there's all this

# Aside: Effects of Adding Explicit Deltas

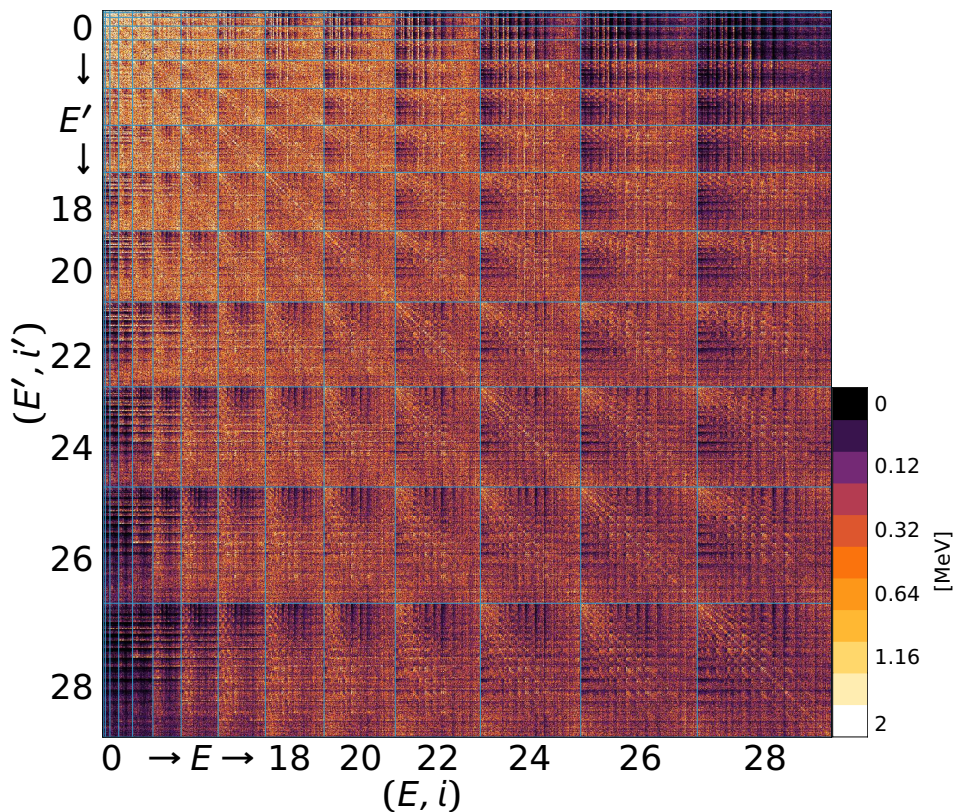


Reshuffles effects to different chiral orders

# SRG Evolution in HO Basis

Most common to SRG evolve 3N in HO basis:

## 3B-Jacobi HO matrix elements



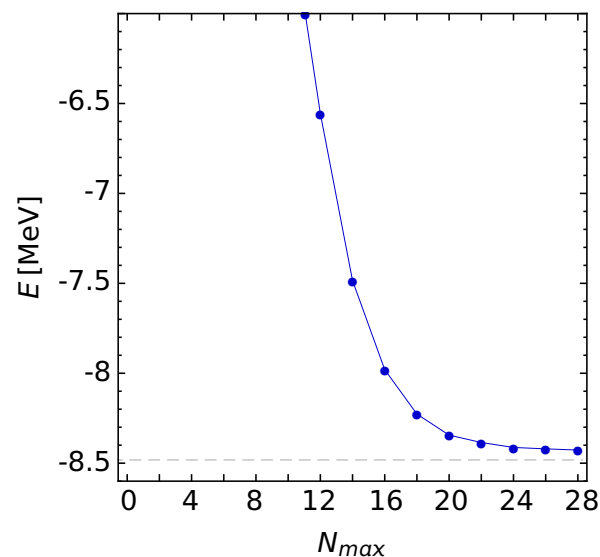
$$\alpha = 0.00 \text{ fm}^4$$

$$\lambda = \infty \text{ fm}^{-1}$$

$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 24 \text{ MeV}$$

## NCSM ground state ${}^3\text{H}$



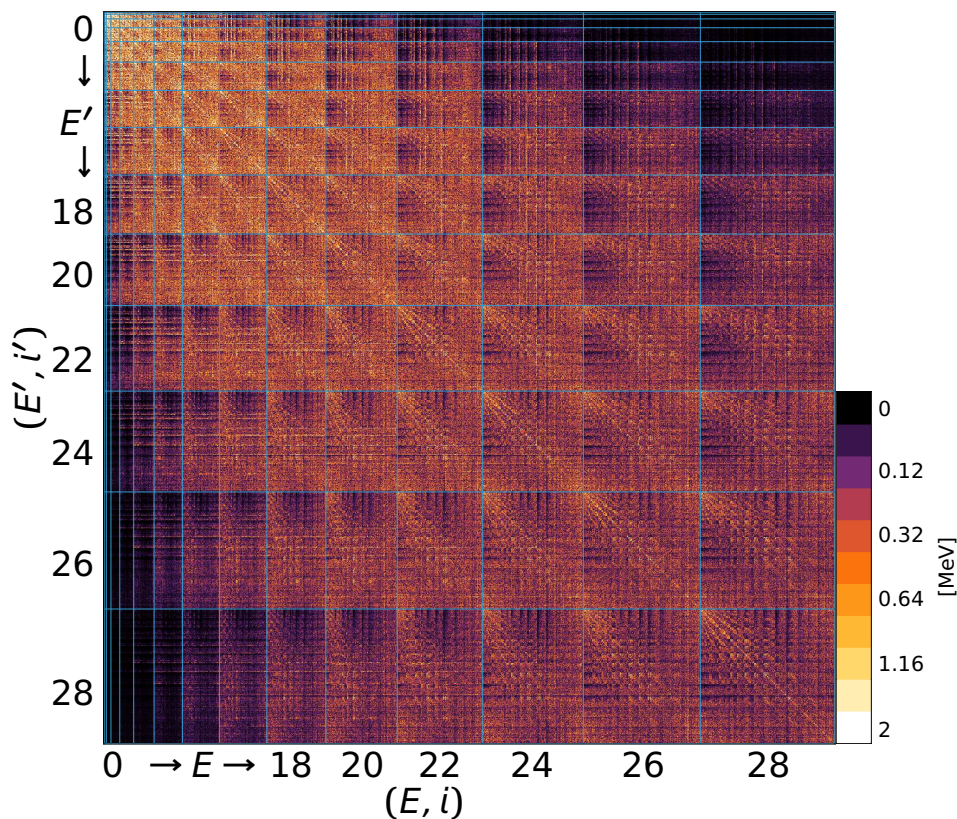
1) SRG-evolve both NN and 3N: NN+3N-full

2) NN Vlowk, refit 3N: NN+3N-fit

# SRG Evolution in HO Basis

Most common to SRG evolve 3N in HO basis:

## 3B-Jacobi HO matrix elements



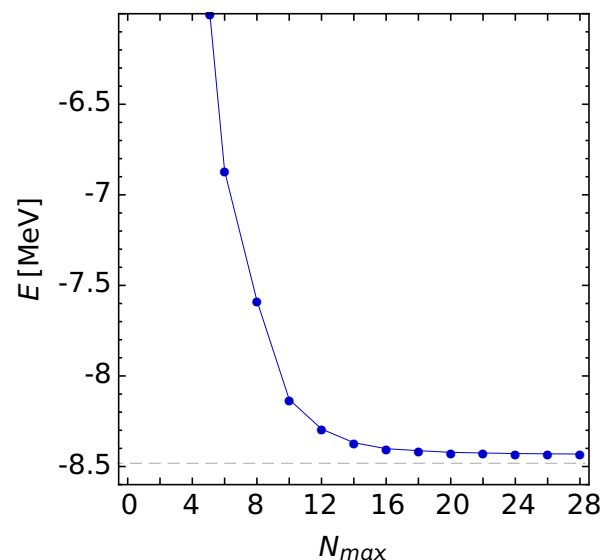
$$\alpha = 0.02 \text{ fm}^4$$

$$\lambda = 2.66 \text{ fm}^{-1}$$

$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 24 \text{ MeV}$$

## NCSM ground state ${}^3\text{H}$



1) SRG-evolve both NN and 3N: NN+3N-full

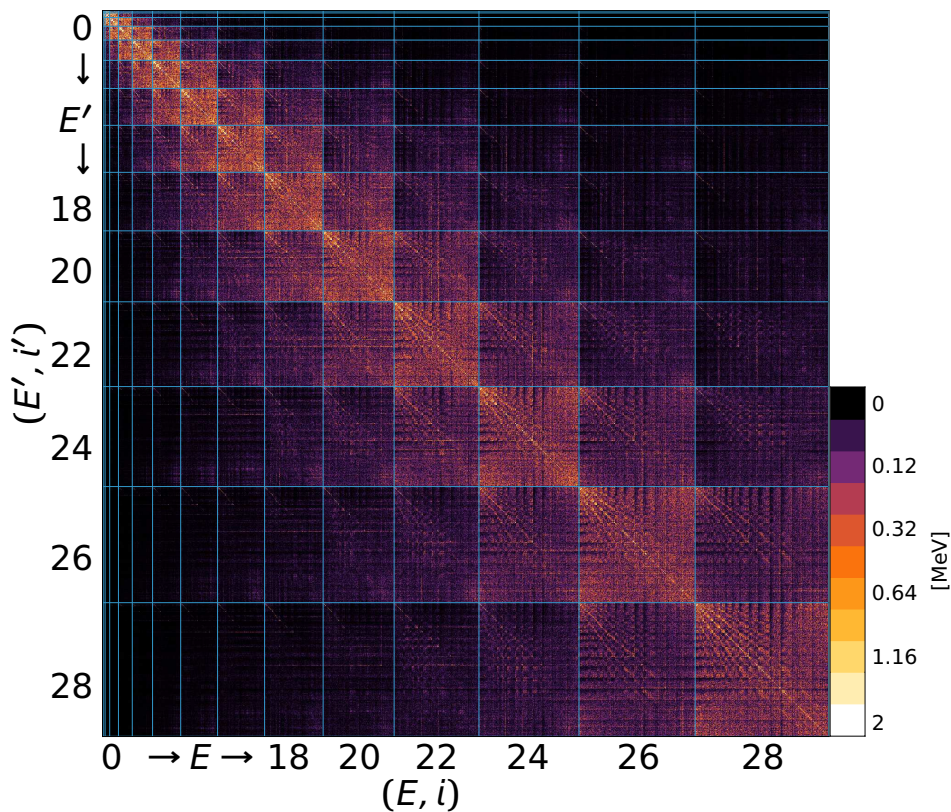
2) NN Vlowk, refit 3N: NN+3N-fit



# SRG Evolution in HO Basis

Most common to SRG evolve 3N in HO basis:

**3B-Jacobi HO matrix elements**



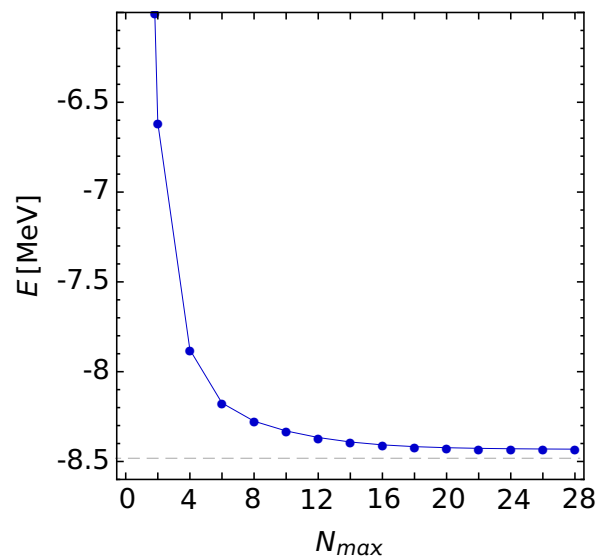
$$\alpha = 1.28 \text{ fm}^4$$

$$\lambda = 0.94 \text{ fm}^{-1}$$

$$\langle E' i' J T | \tilde{H}_\alpha - T_{\text{int}} | E i J T \rangle$$

$$J^\pi = \frac{1}{2}^+, T = \frac{1}{2}, \hbar\Omega = 24 \text{ MeV}$$

**NCSM ground state  ${}^3\text{H}$**

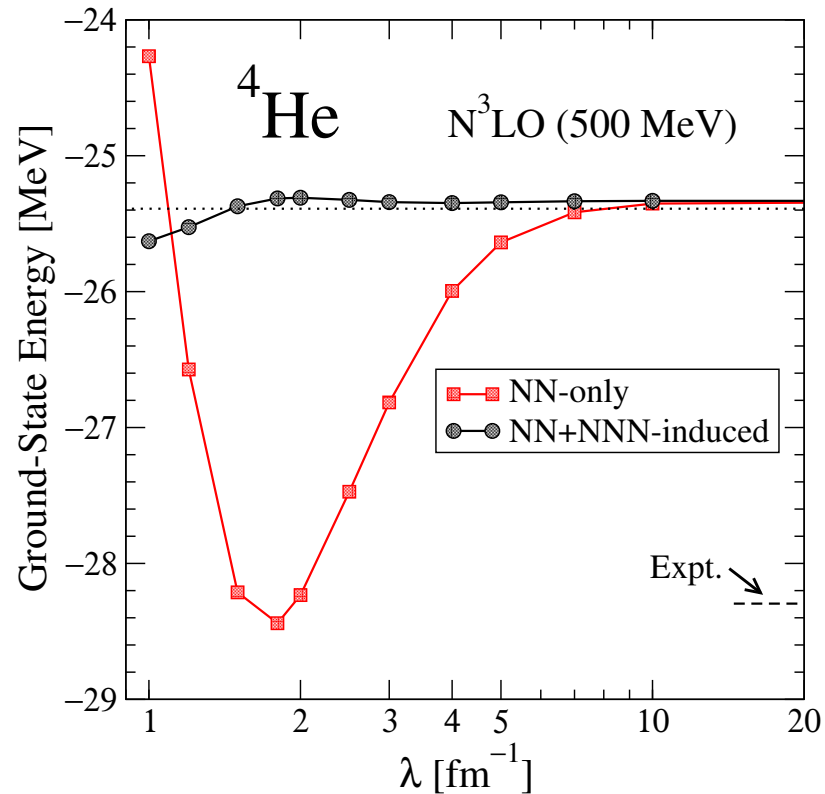
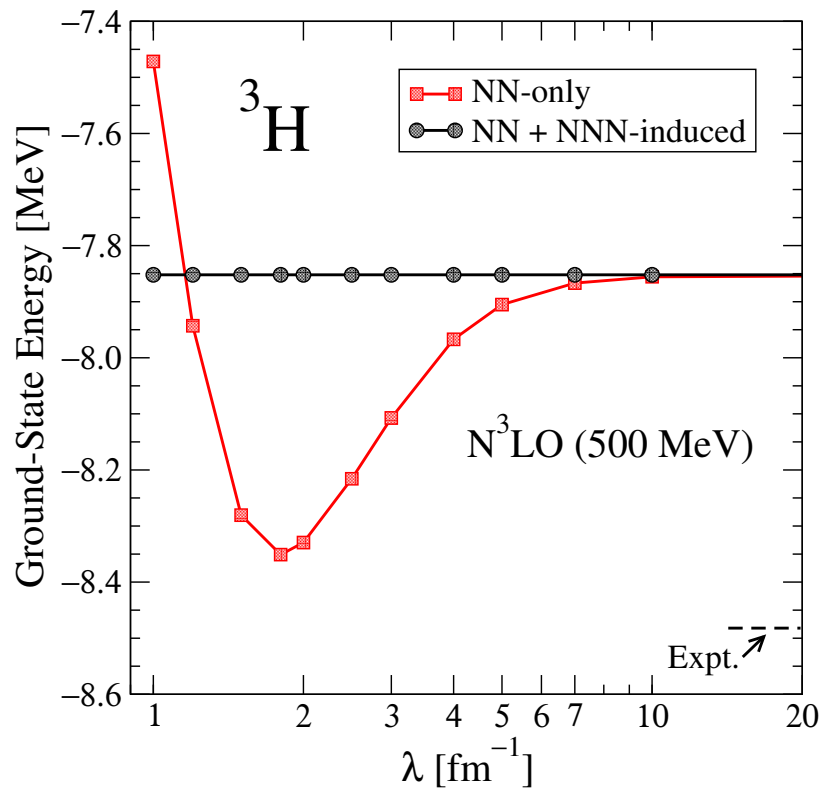


1) SRG-evolve both NN and 3N: NN+3N-full

2) NN Vlowk, refit 3N: NN+3N-fit

# Induced 3N Forces

Effect of including 3N-ind? Exactly initial  $V_{\text{NN}}$  up to neglected 4N-ind



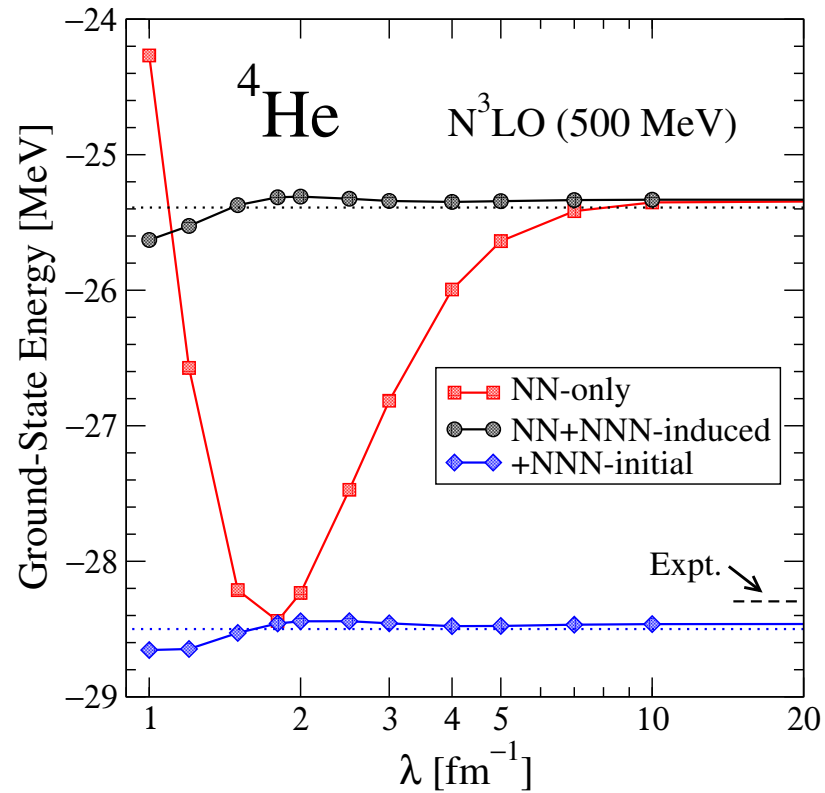
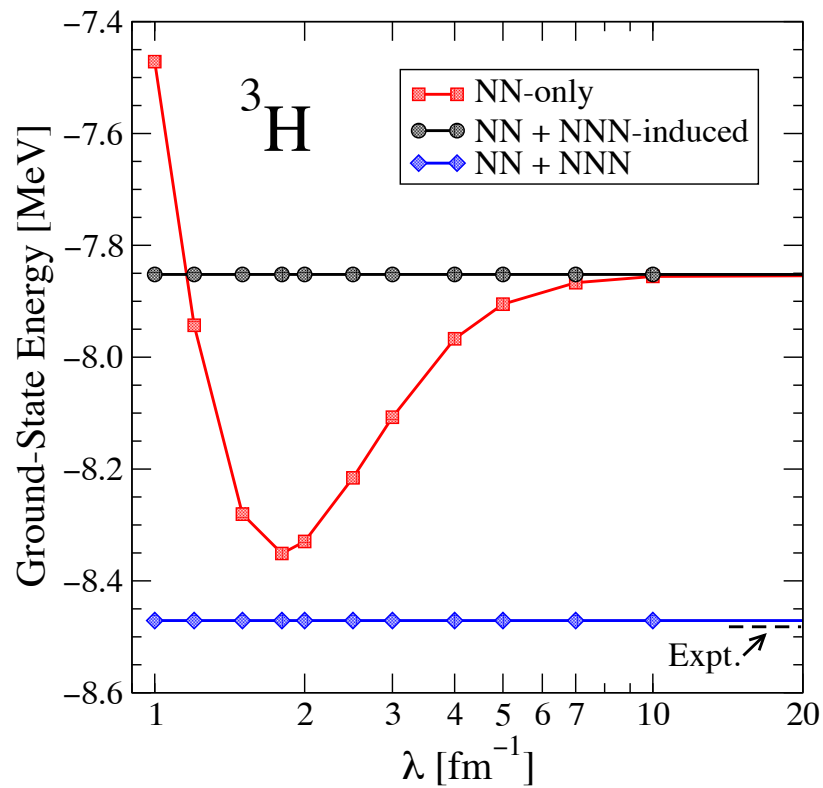
NN-only clear cutoff dependences

3N-ind: dramatic reduction in cutoff dependence, no agreement with experiment



# Induced 3N Forces

Effect of including 3N-ind? Exactly initial  $V_{\text{NN}}$  up to neglected 4N-ind



NN-only clear cutoff dependences

3N-ind: dramatic reduction in cutoff dependence, no agreement with experiment

NN+3N-full retains cutoff independence, reproduces experiment!

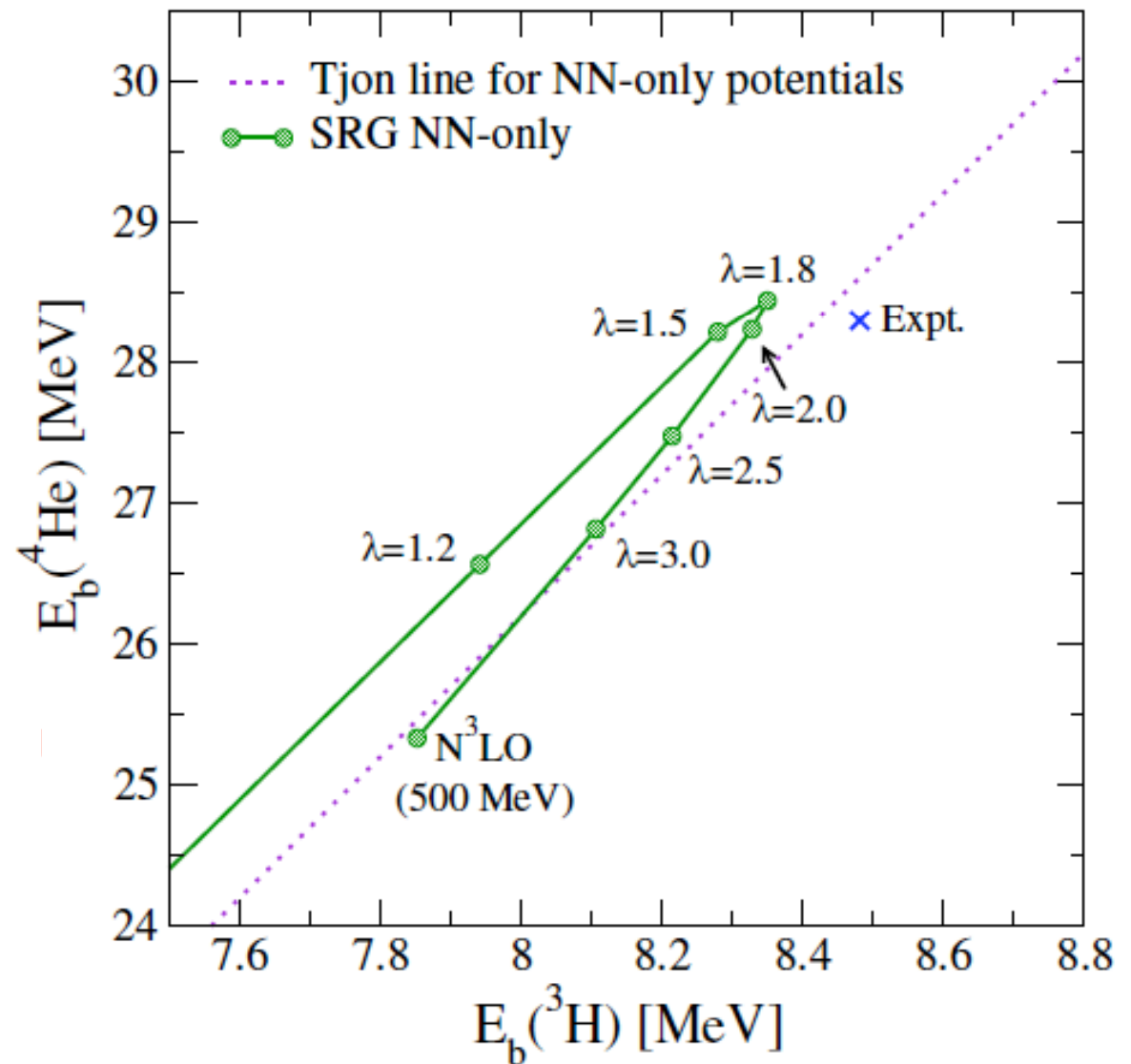
# Benefits of Lower Cutoffs

Use cutoff dependence to assess missing physics: return to Tjon line

Varying cutoff moves along line

Still never reaches experiment

**Tool, not a parameter!**



# Benefits of Lower Cutoffs

Use cutoff dependence to assess missing physics: return to Tjon line

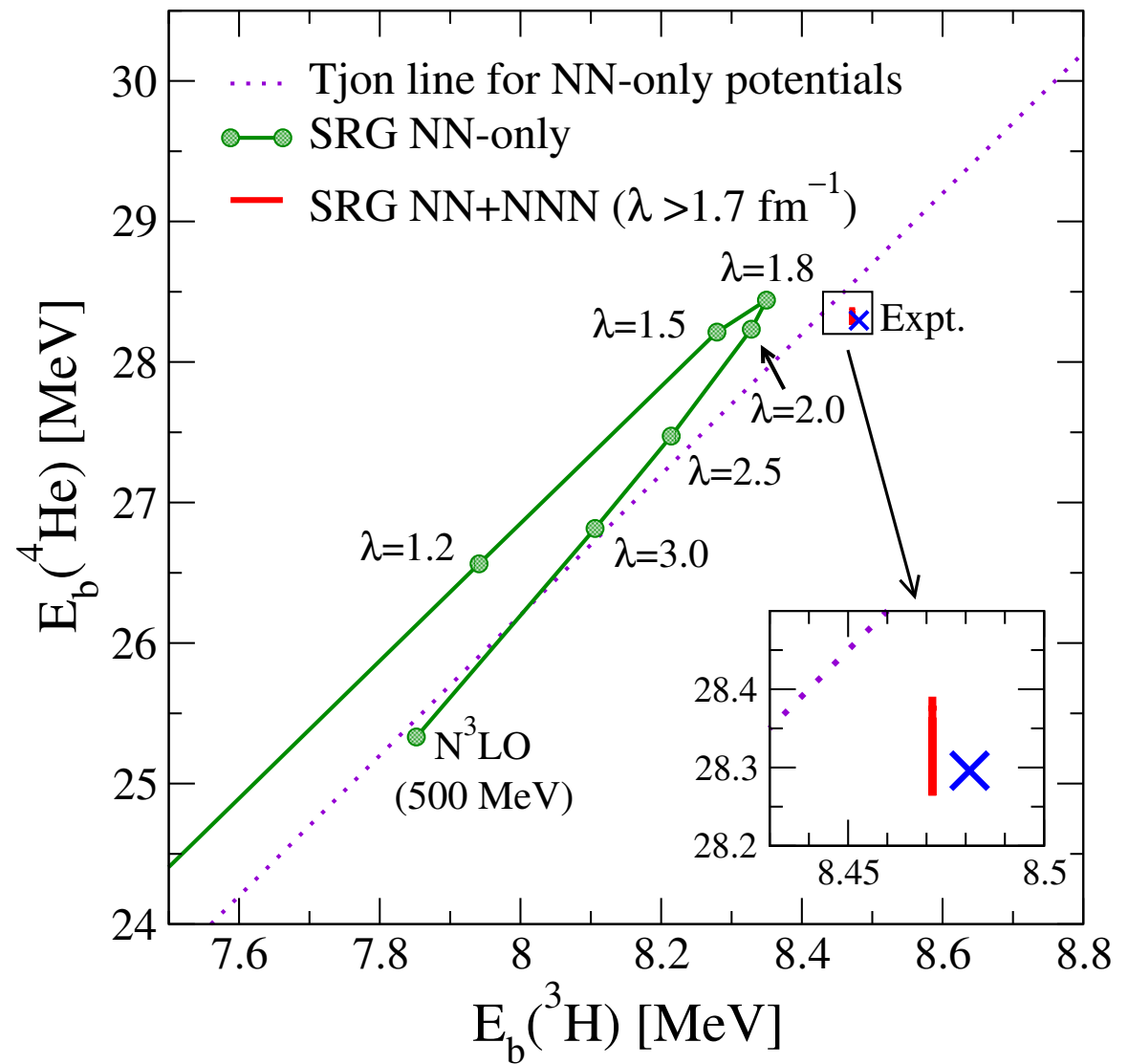
Varying cutoff moves along line

Still never reaches experiment

**Tool, not a parameter!**

**Including 3N reaches expt.**

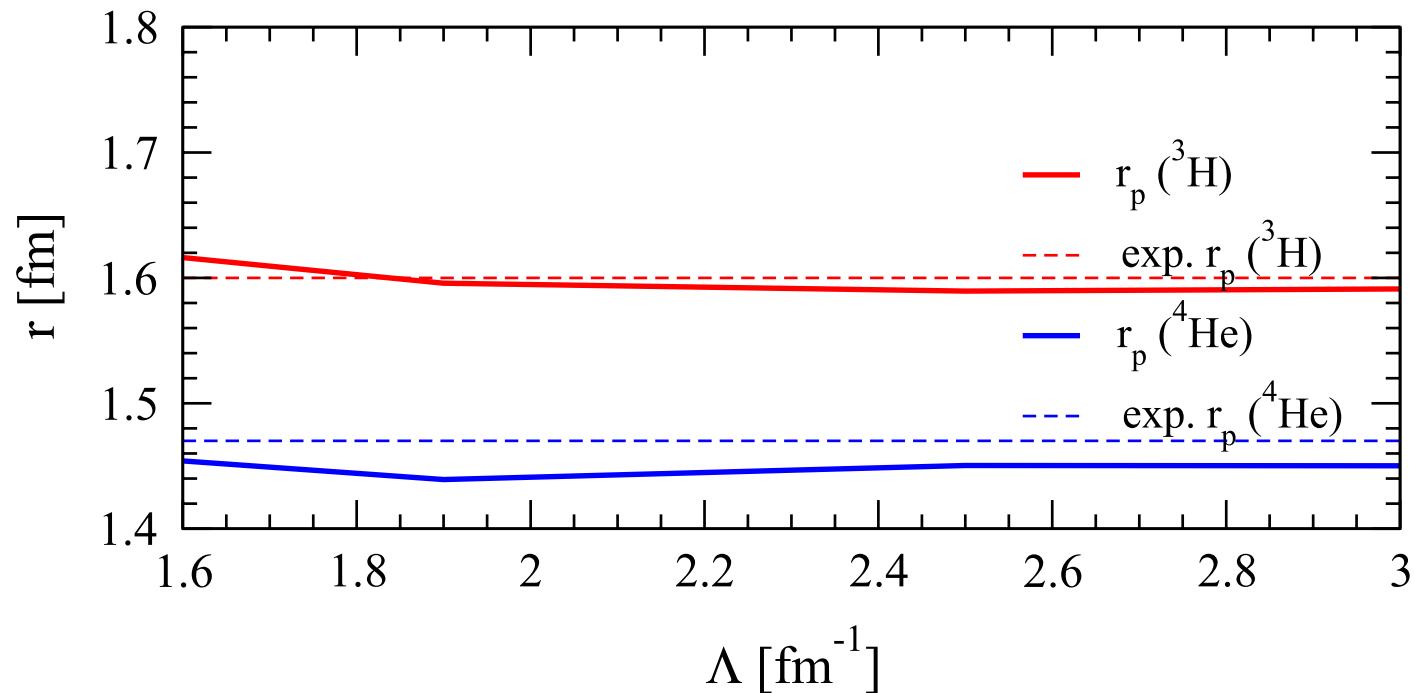
**Why not perfect fit?**



# Cutoff Variation with 3N Forces

Use cutoff variation to assess missing physics in few body systems

**Radii of triton and alpha particle** calculated from NN+3N forces

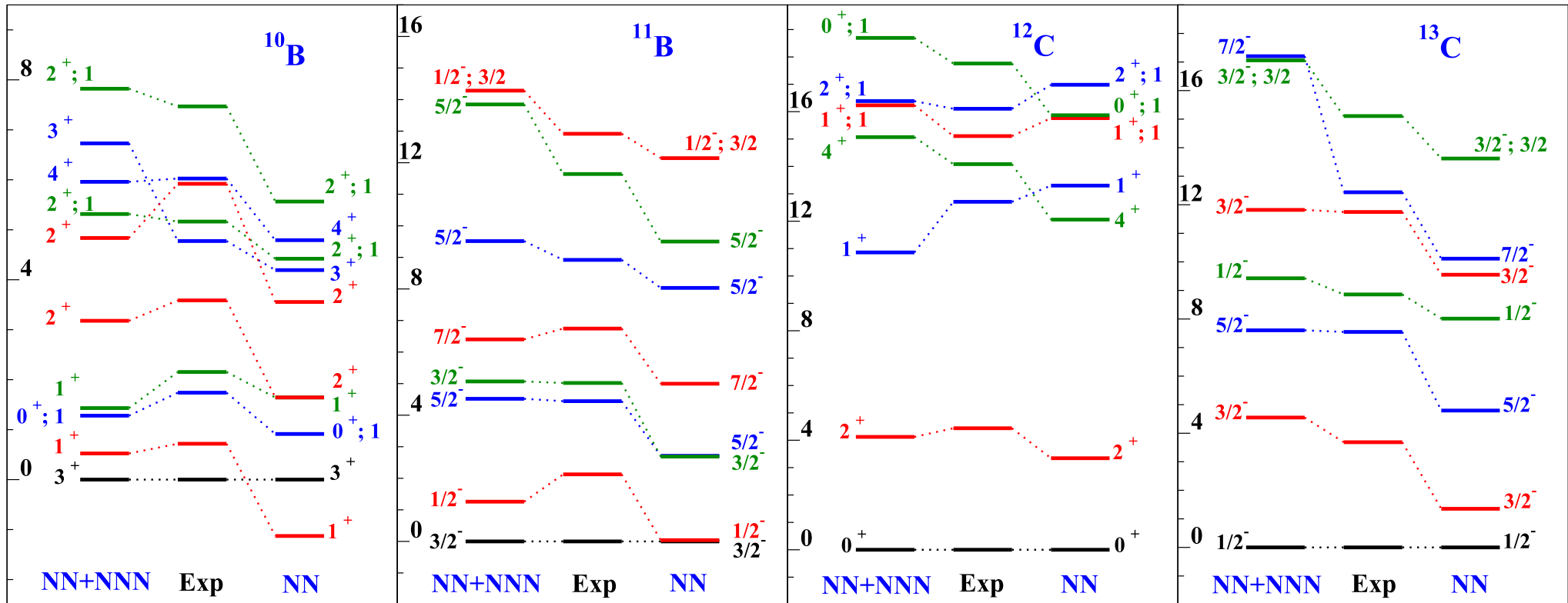


**Minimal cutoff variation**

# Chiral Three-Body Forces in Light Nuclei

Importance of chiral 3N forces established in light nuclei

Converged NCSM (Navratil 2007)

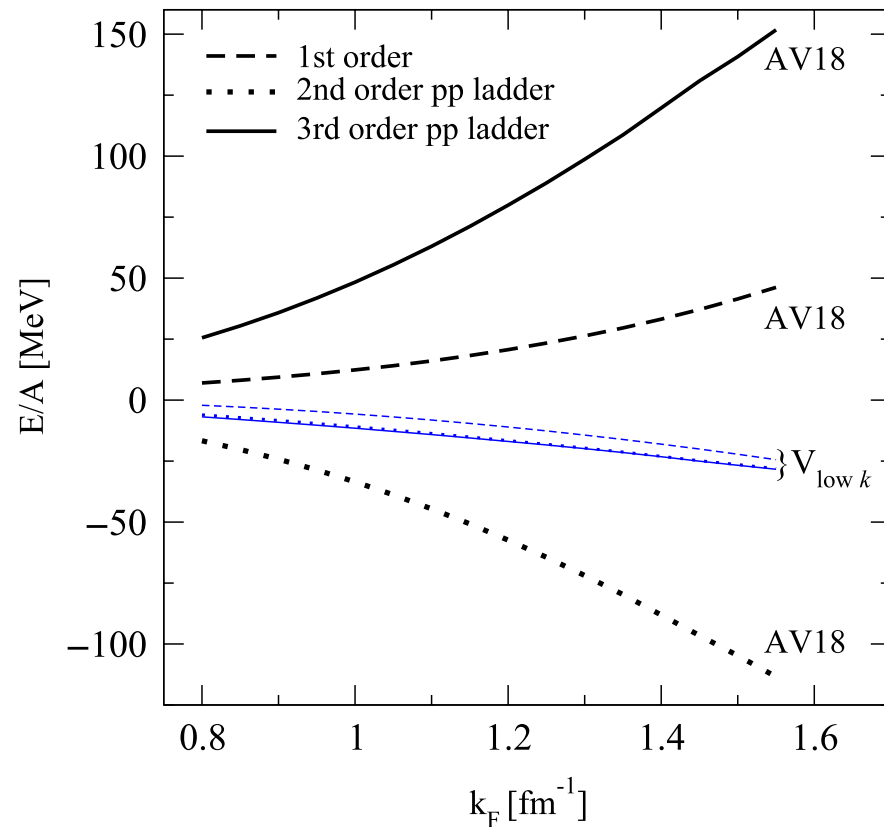
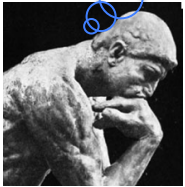


They work! What about nuclear matter?

# Perturbative in Symmetric Nuclear Matter?

$$H(\Lambda) = T + V_{\text{NN}}(\Lambda) + V_{\text{3N}}(\Lambda) + V_{\text{4N}}(\Lambda) + \dots$$

Yes, but if I  
remember, saturation  
isn't correct

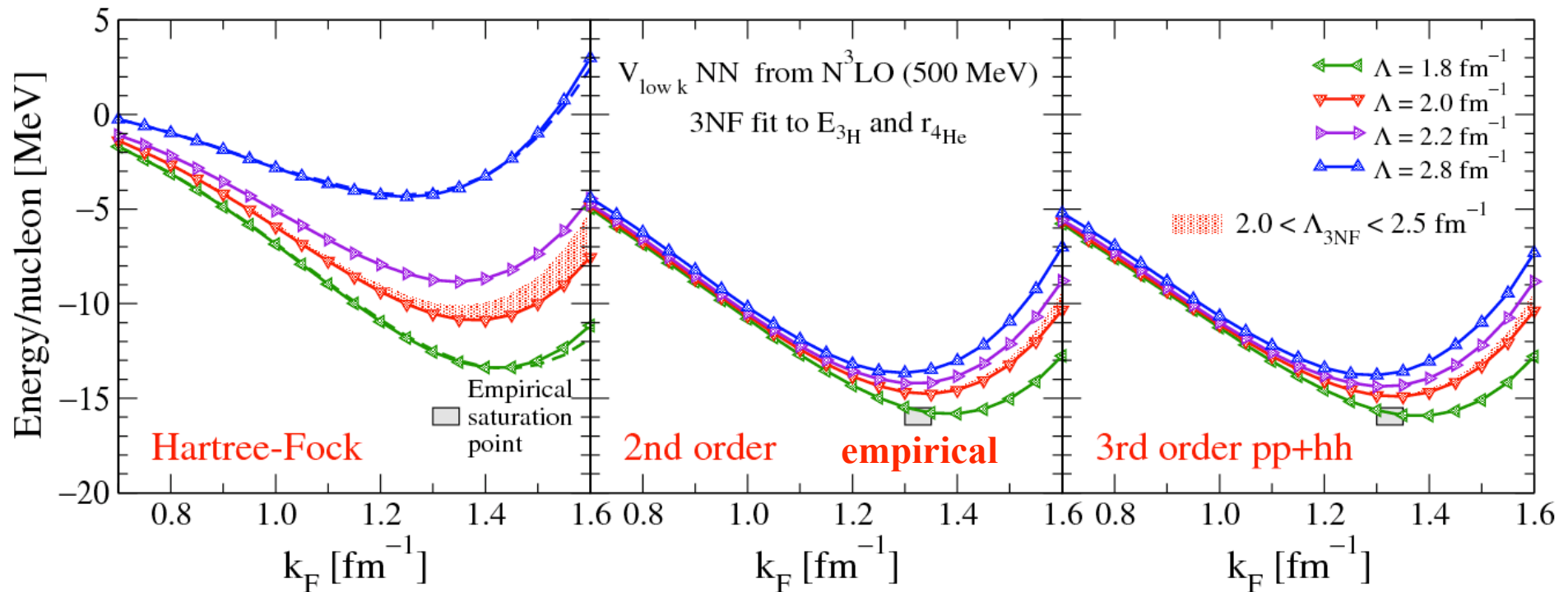


Significant improvement with low-momentum interactions!



# Perturbative in Symmetric Nuclear Matter?

$$H(\Lambda) = T + V_{\text{NN}}(\Lambda) + V_{\text{3N}}(\Lambda) + V_{\text{4N}}(\Lambda) + \dots$$



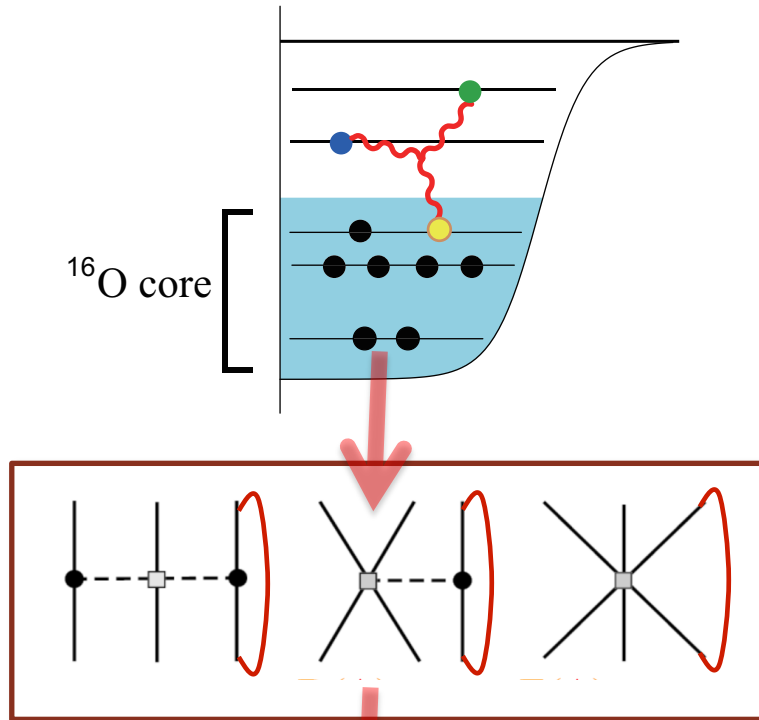
Now NN+3N-fit remain perturbative and reproduce saturation!

Minor but non-negligible cutoff variation

# 3N Forces for Valence-Shell Theories

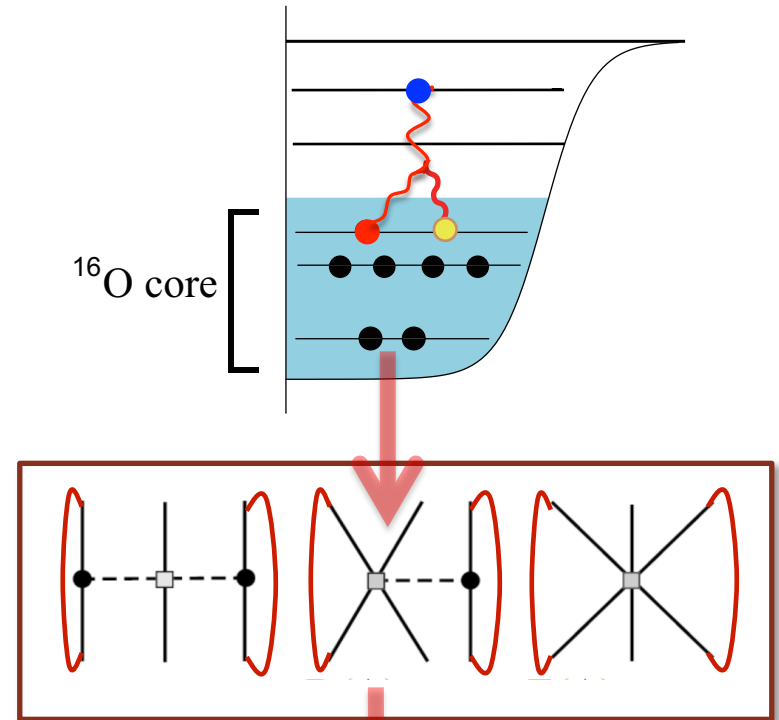
**Normal-ordered 3N:** contribution to valence neutron interactions

Effective two-body



$$\langle ab | V_{3N,\text{eff}} | a'b' \rangle = \sum_{\alpha=\text{core}} \langle \alpha ab | V_{3N} | \alpha a'b' \rangle$$

Effective one-body



$$\langle a | V_{3N,\text{eff}} | a' \rangle = \frac{1}{2} \sum_{\alpha\beta=\text{core}} \langle \alpha\beta a | V_{3N} | \alpha\beta a' \rangle$$

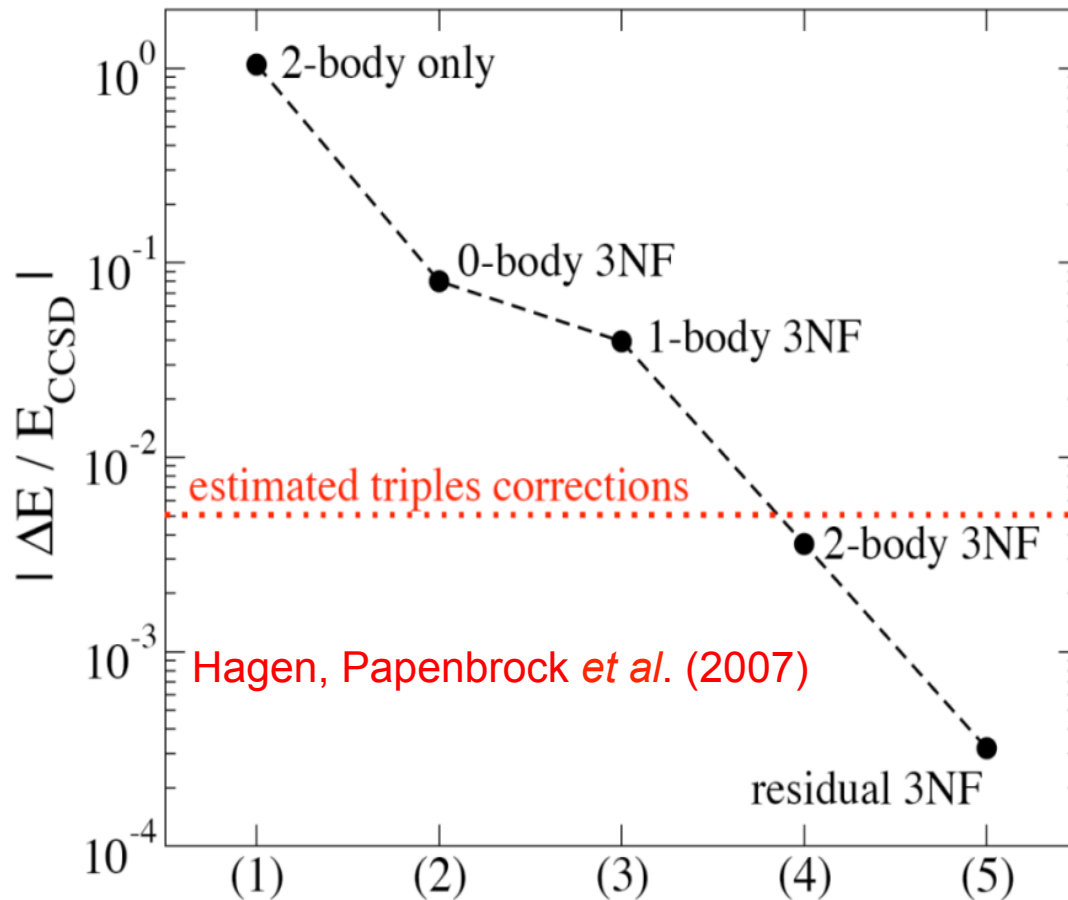
Combine with microscopic NN: eliminate empirical adjustments

# 3N Forces for Valence-Shell Theories

Effects of residual 3N between 3 valence nucleons?

**Normal-ordered 3N:** microscopic contributions to inputs for CI Hamiltonian

Effects of residual 3N between 3 valence nucleons?



Coupled-Cluster theory with 3N:  
benchmark of  $^4\text{He}$

0- 1- and 2-body of 3NF dominate

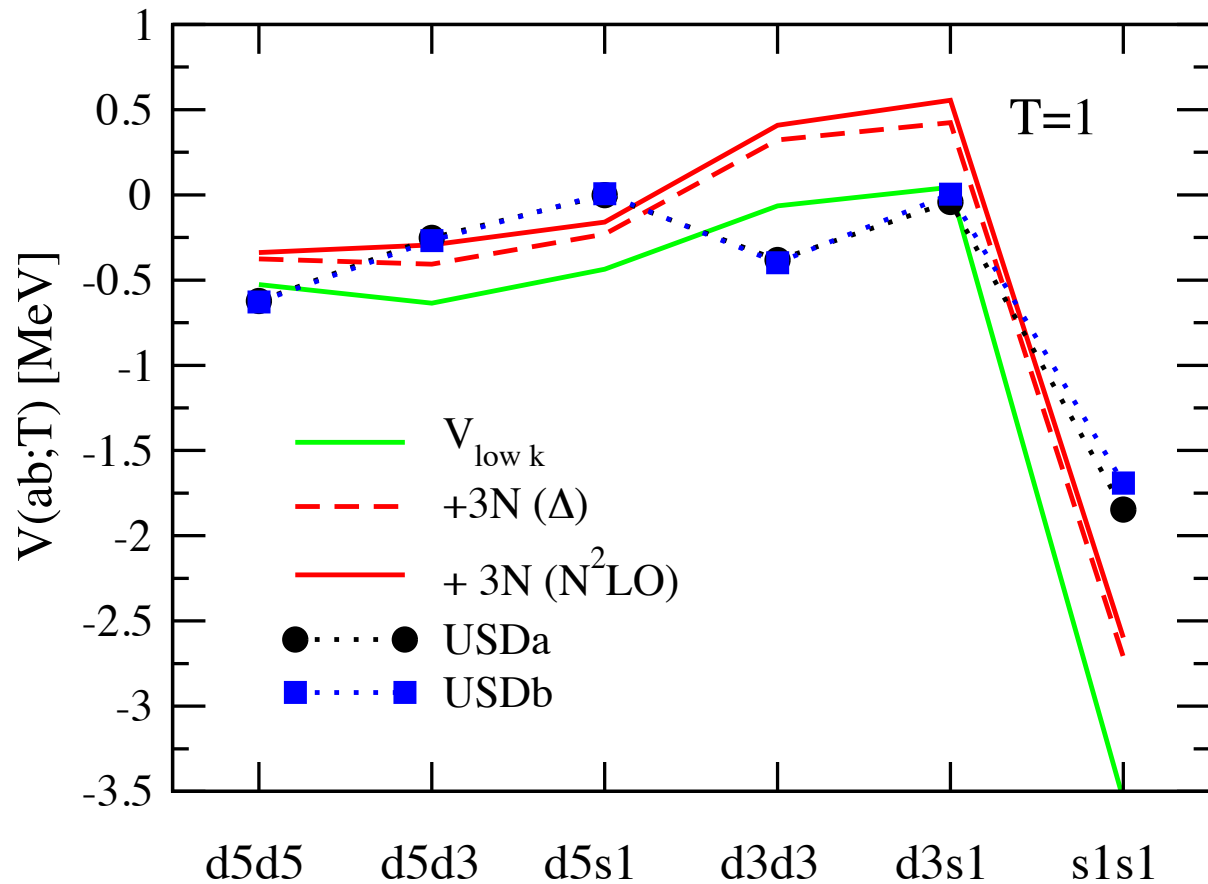
Residual 3N can be neglected

Work on  $^{16}\text{O}$  in progress

Approximated residual 3N by summing over valence nucleon

– Nucleus-dependent: effect small, not negligible by  $^{24}\text{O}$

# Two-body 3N: Monopoles in *sd*-shell



Dominant effect from  
**one- $\Delta$**  – as expected  
from cutoff variation

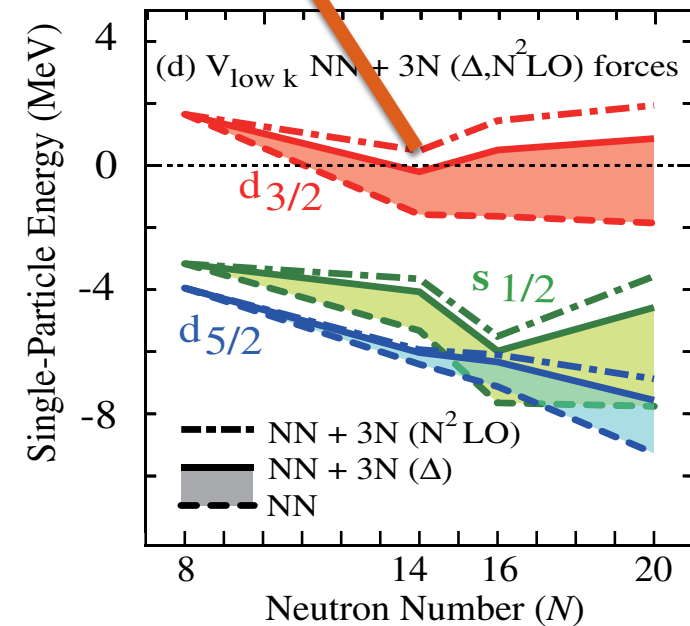
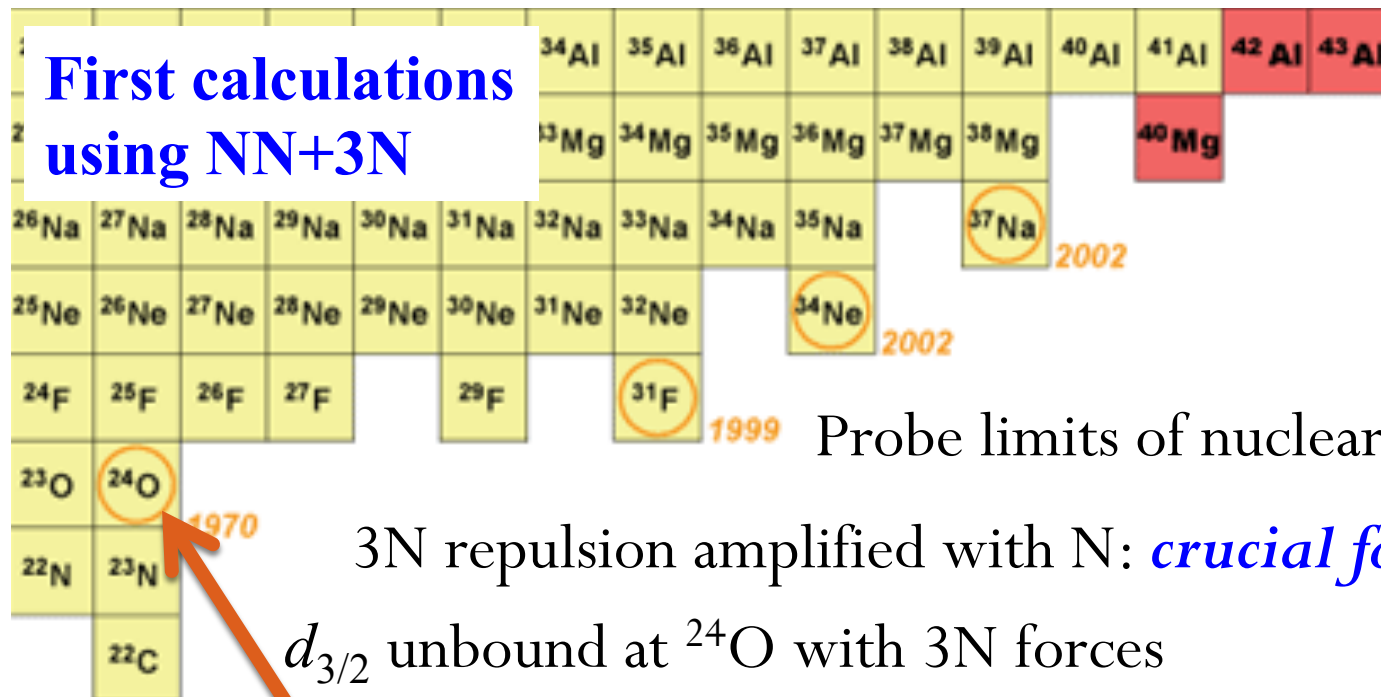
3N forces produce clear  
repulsive shift in monopoles

First calculations to show missing monopole strength due to neglected 3N

**Future:** Improved treatment of high-lying orbits

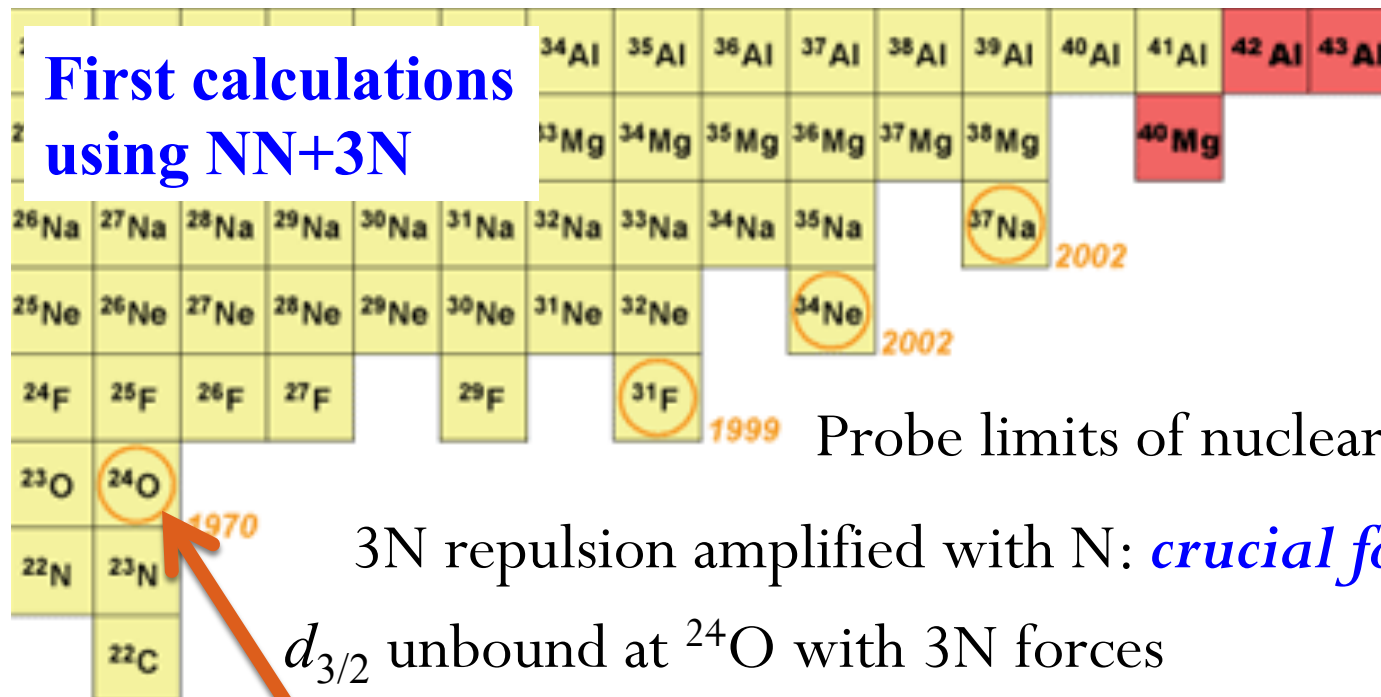
# Oxygen Anomaly

First calculations  
using NN+3N



# Oxygen Anomaly

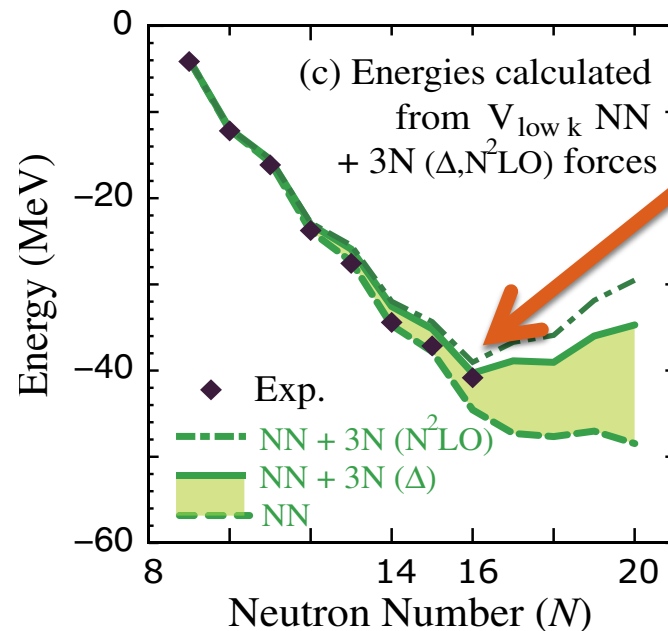
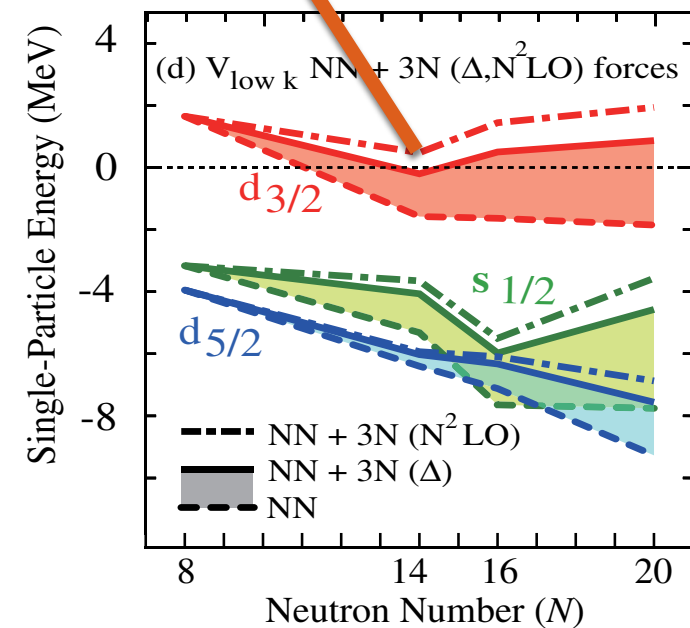
First calculations  
using NN+3N



Probe limits of nuclear existence with 3N forces

3N repulsion amplified with N: *crucial for neutron-rich nuclei*

$d_{3/2}$  unbound at  $^{24}\text{O}$  with 3N forces



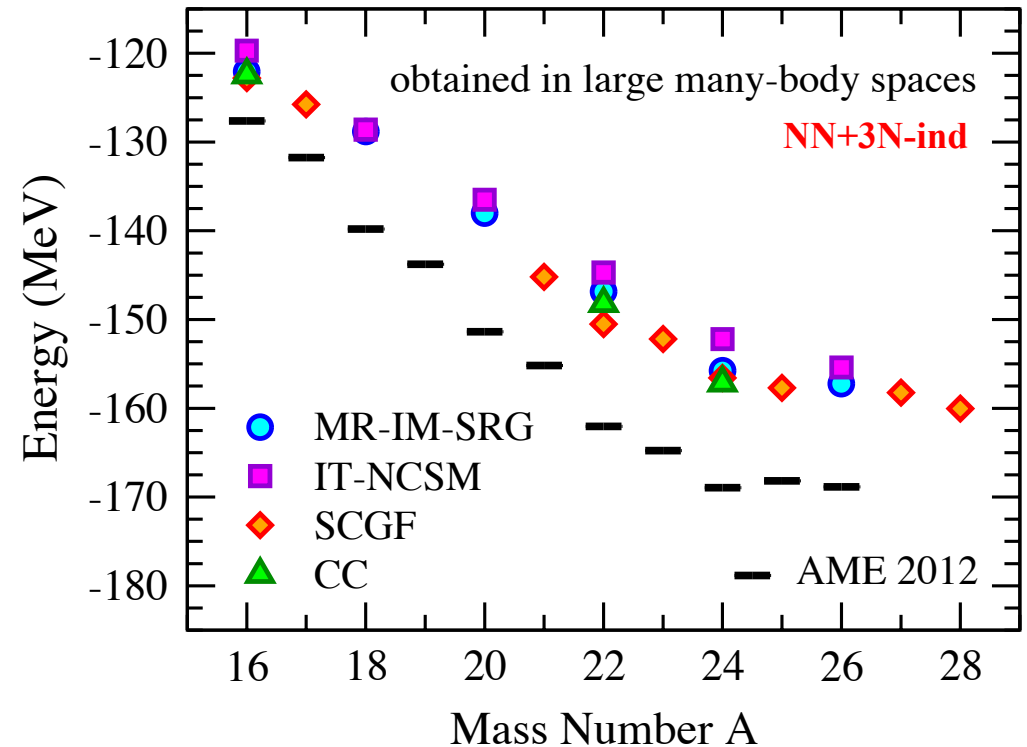
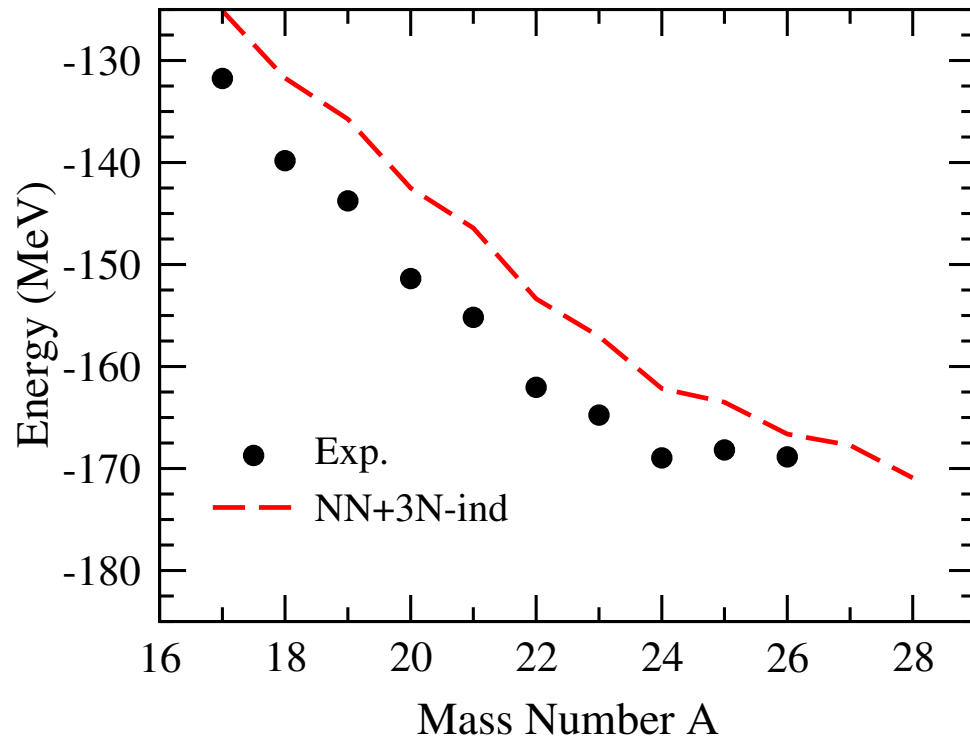
Isotopes unbound  
beyond  $^{24}\text{O}$

First microscopic  
explanation of oxygen  
anomaly



# Comparison with Large-Space Methods

Large-space methods with **same SRG-evolved NN+3N-ind forces**



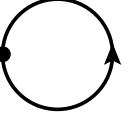
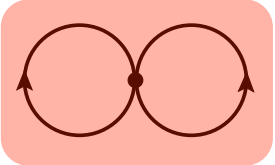
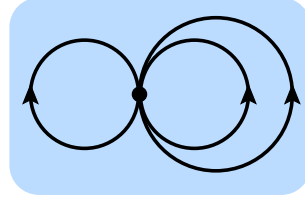

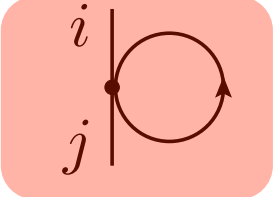
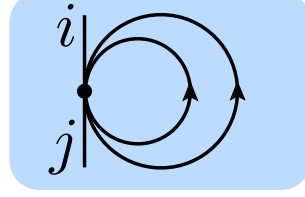
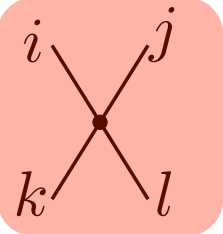
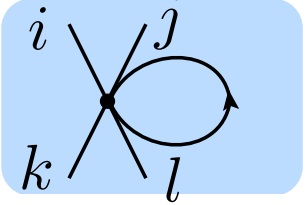
Agreement between all methods with same input forces

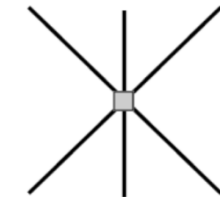
No reproduction of dripline in any case

# Normal-Ordered Hamiltonian

Now rewrite exactly the initial Hamiltonian in normal-ordered form

$$H_{\text{N.O.}} = E_0 + \sum_{ij} f_{ij} \{a_i^\dagger a_j\} + \frac{1}{4} \sum_{ijkl} \Gamma_{ijkl} \{a_i^\dagger a_j^\dagger a_l a_k\} + \frac{1}{36} \sum_{ijklmn} W_{ijklmn} \{a_i^\dagger a_j^\dagger a_k^\dagger a_l a_m a_n\}$$

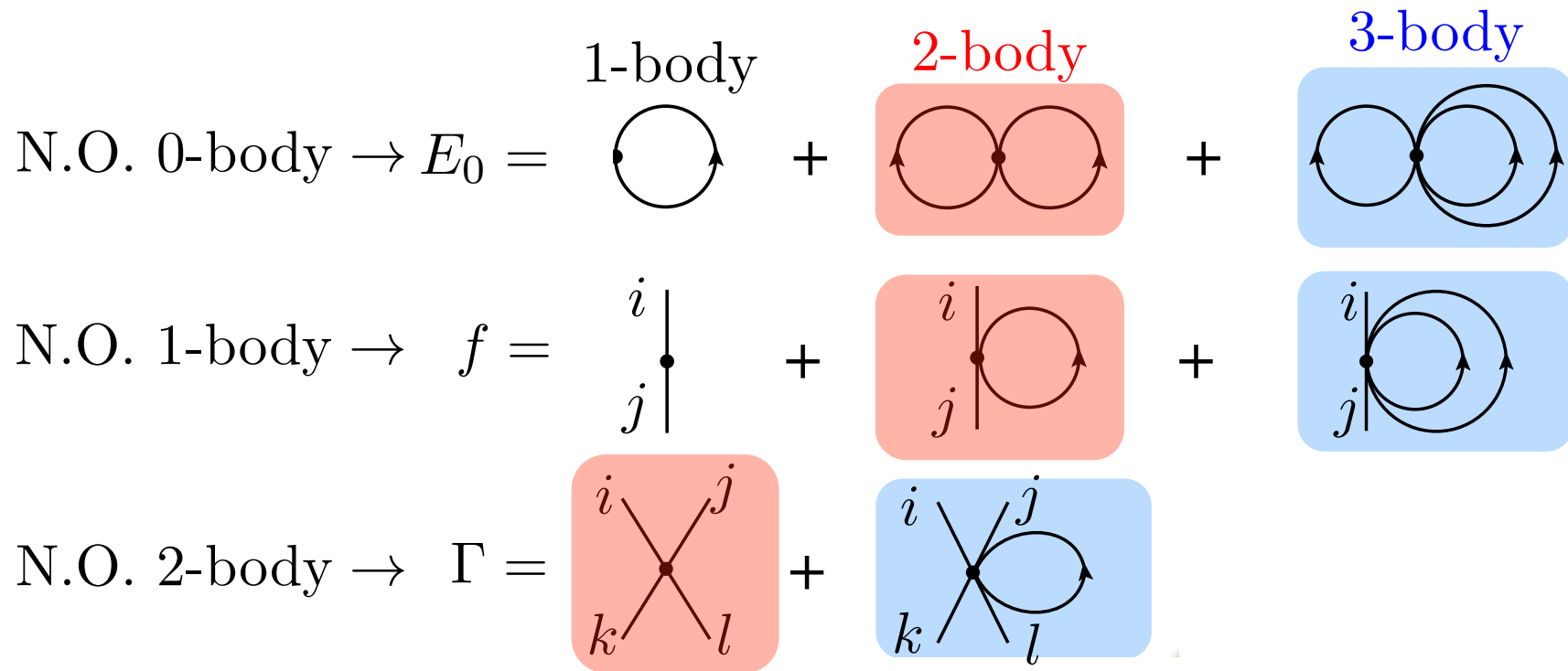
	1-body	2-body	3-body
N.O. 0-body $\rightarrow E_0 =$			
N.O. 1-body $\rightarrow f =$			
N.O. 2-body $\rightarrow \Gamma =$			



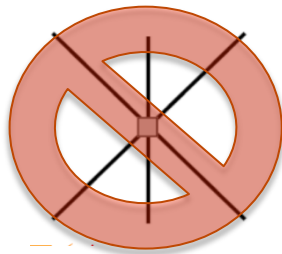
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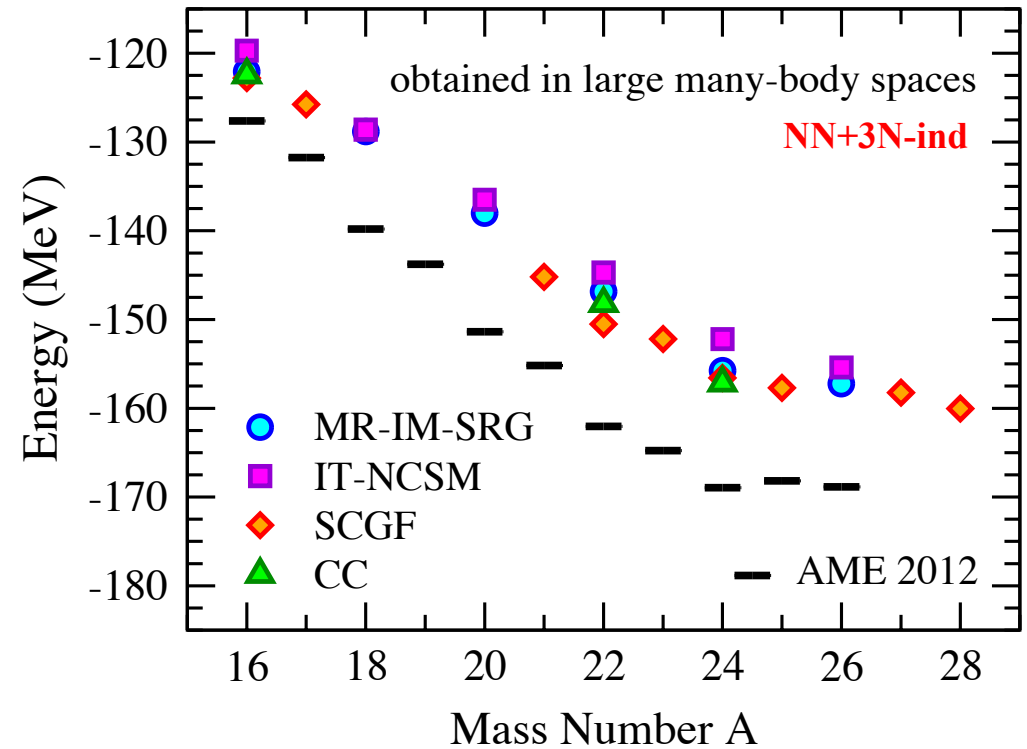
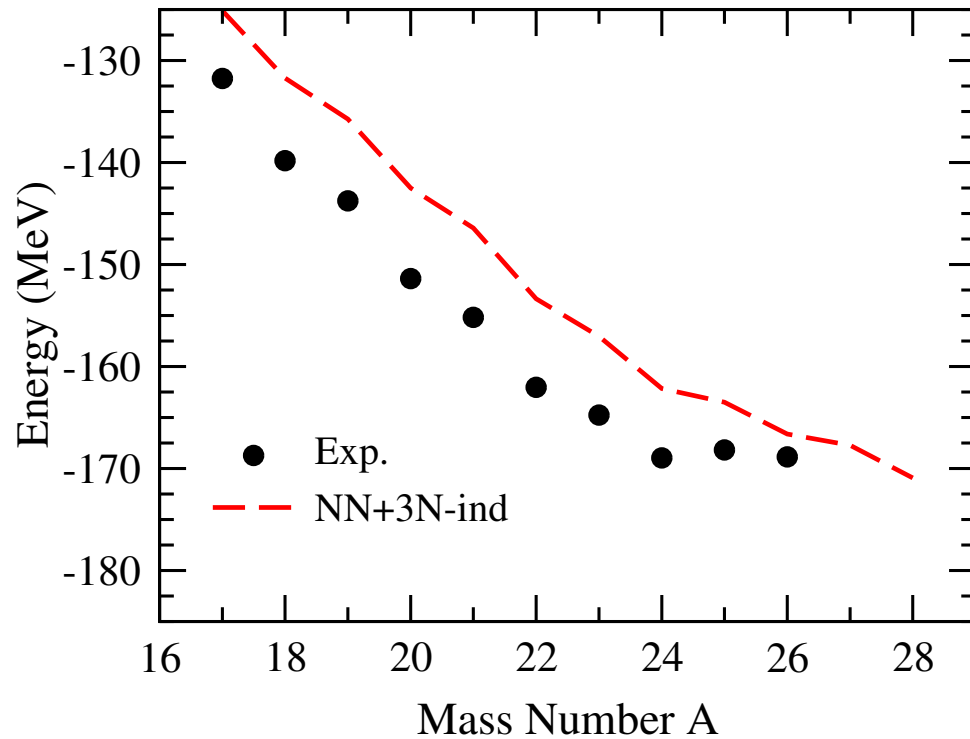


Neglect residual 3N



# Comparison with Large-Space Methods

Large-space methods with **same SRG-evolved NN+3N-ind forces**

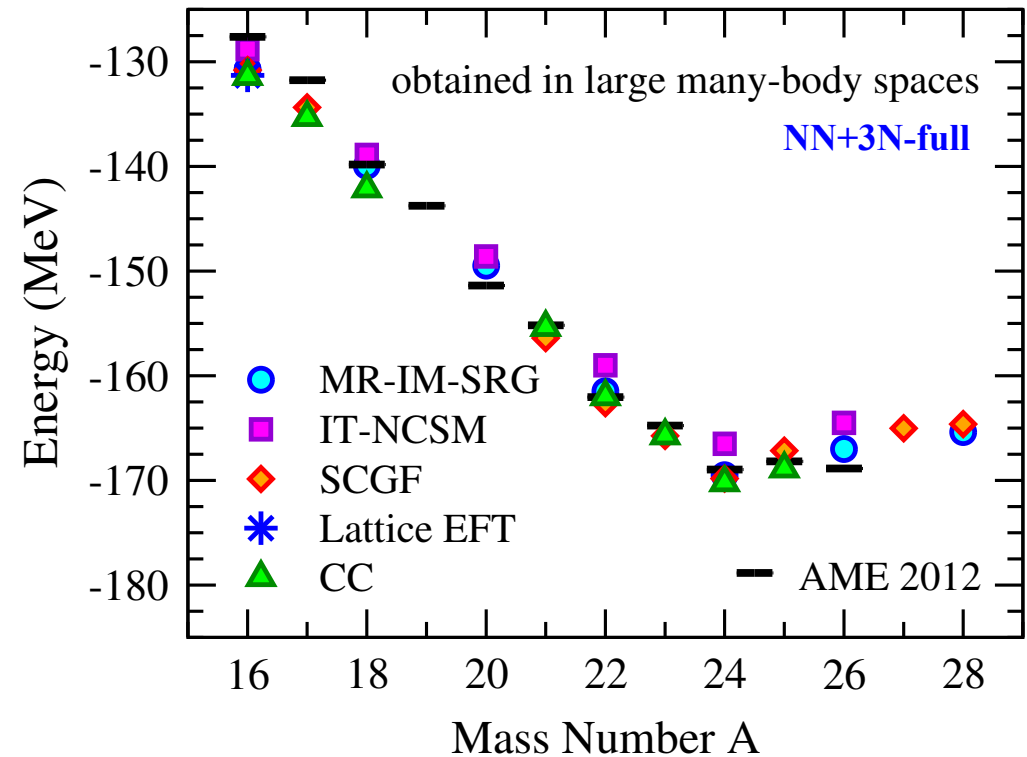
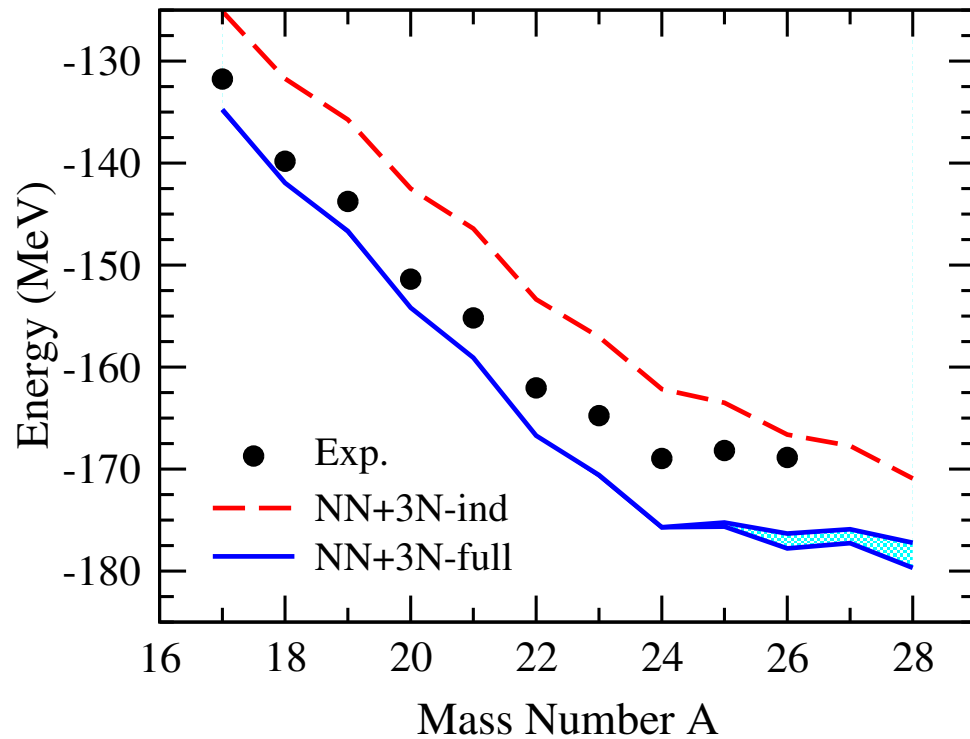


Agreement between all methods with same input forces

No reproduction of dripline in any case

# Comparison with Large-Space Methods

Large-space methods with **same SRG-evolved NN+3N-full forces**



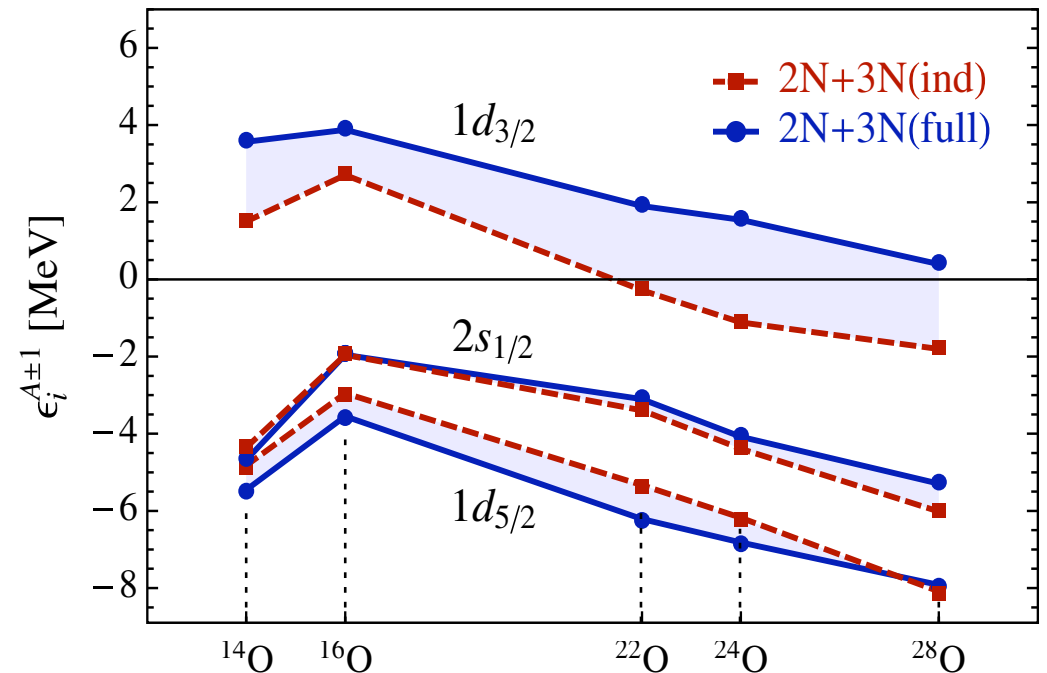
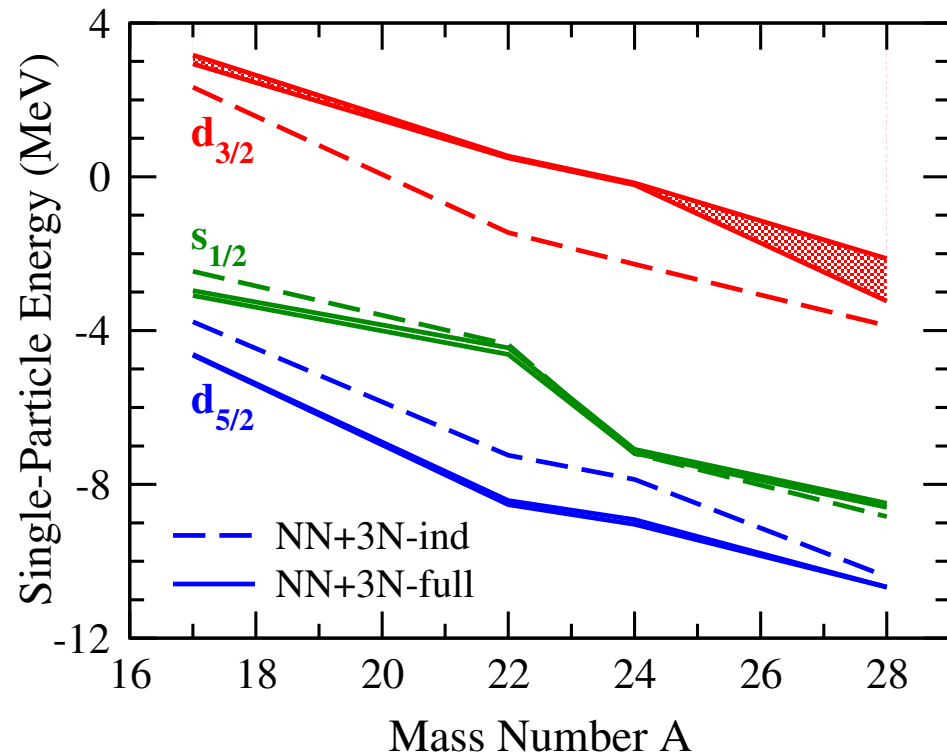
Agreement between all methods with same input forces

Clear improvement with NN+3N-full

Validates valence-space results

# Oxygen Dripline Mechanism

Self-consistent Green's Function with **same SRG-evolved NN+3N forces**



Cipollone, Barbieri, Navrátil, PRL (2013)

Robust mechanism driving dripline behavior

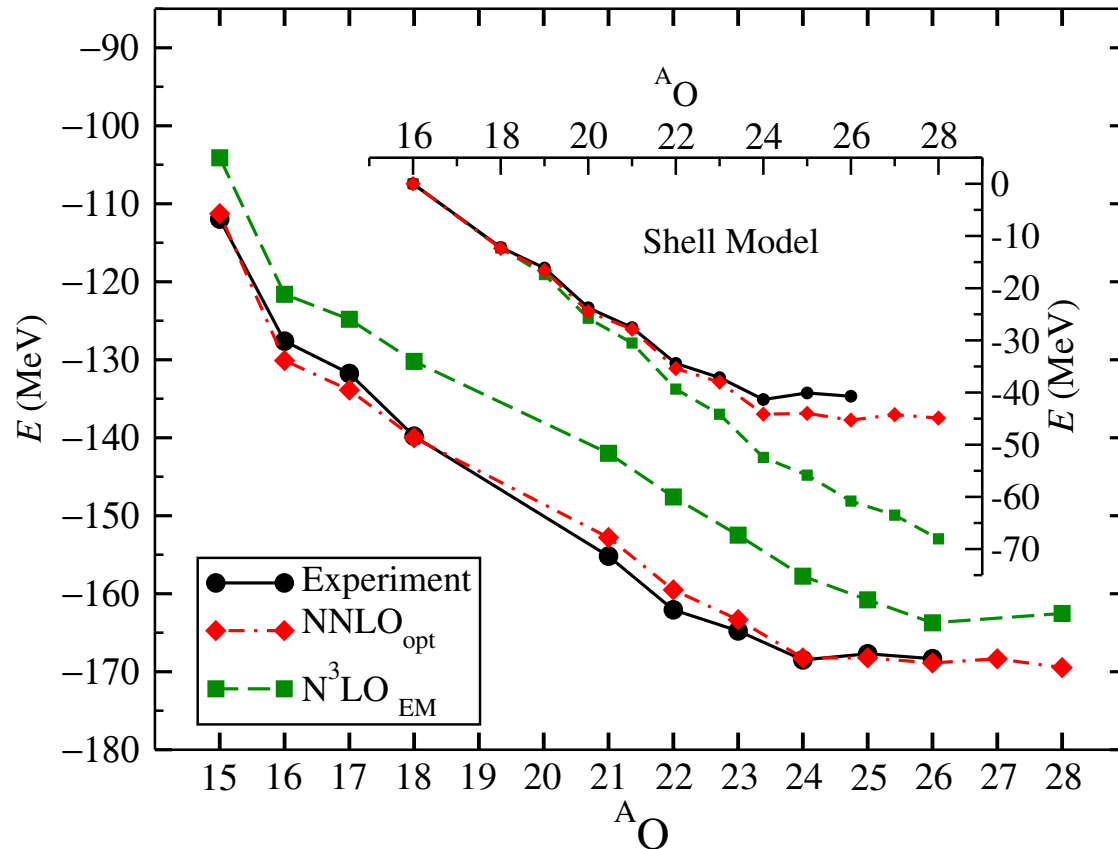
3N repulsion raises  $d_{3/2}$ , lessens decrease across shell

Similar to first MBPT NN+3N calculations in oxygen



# Optimized Chiral Forces N<sup>2</sup>LO NN-Only

Recent calculations at N<sup>2</sup>LO without 3N forces found a remarkable result



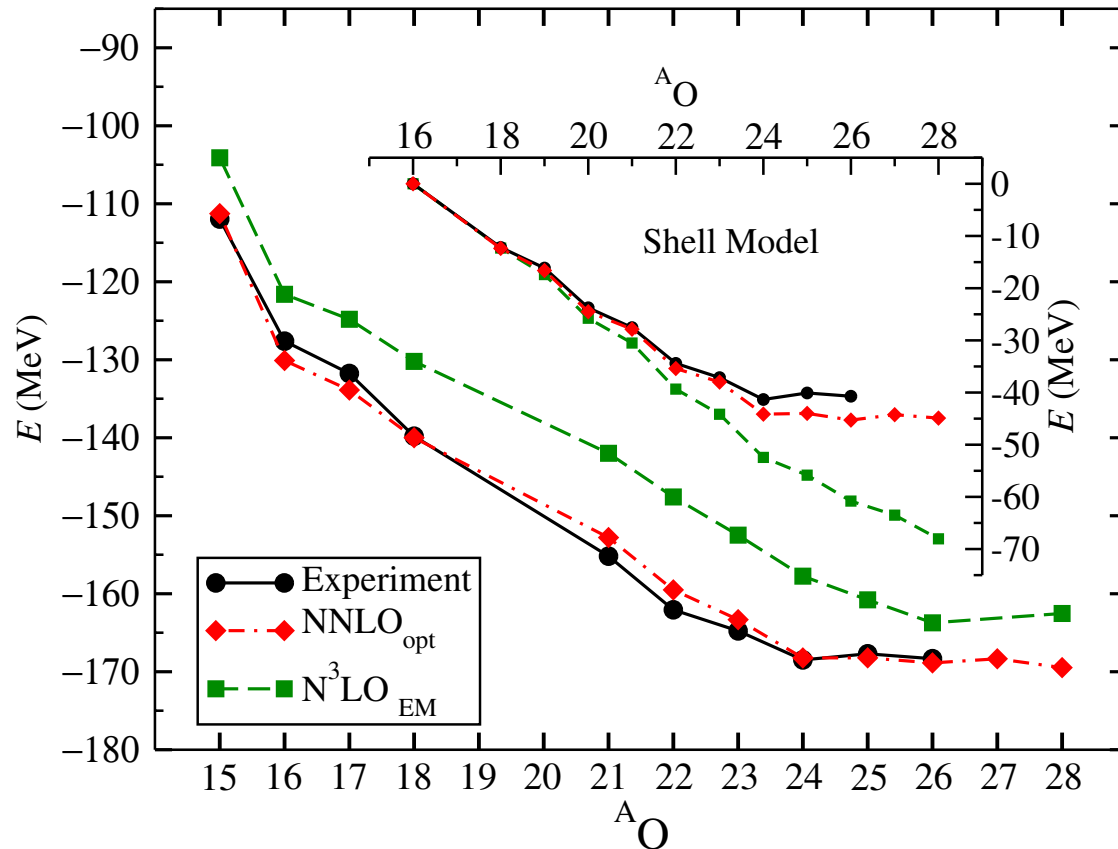
Ekström et al (PRL 2013)

Oxygen dripline reproduced with NN forces only!

What does this mean about 3N?

# Optimized Chiral Forces N<sup>2</sup>LO NN-Only

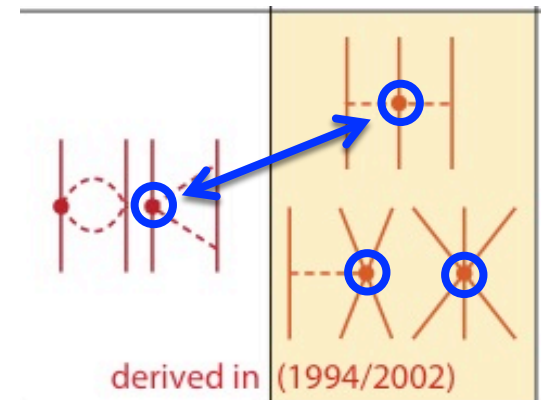
Recent calculations at N<sup>2</sup>LO without 3N forces found a remarkable result



Ekström et al (PRL 2013)

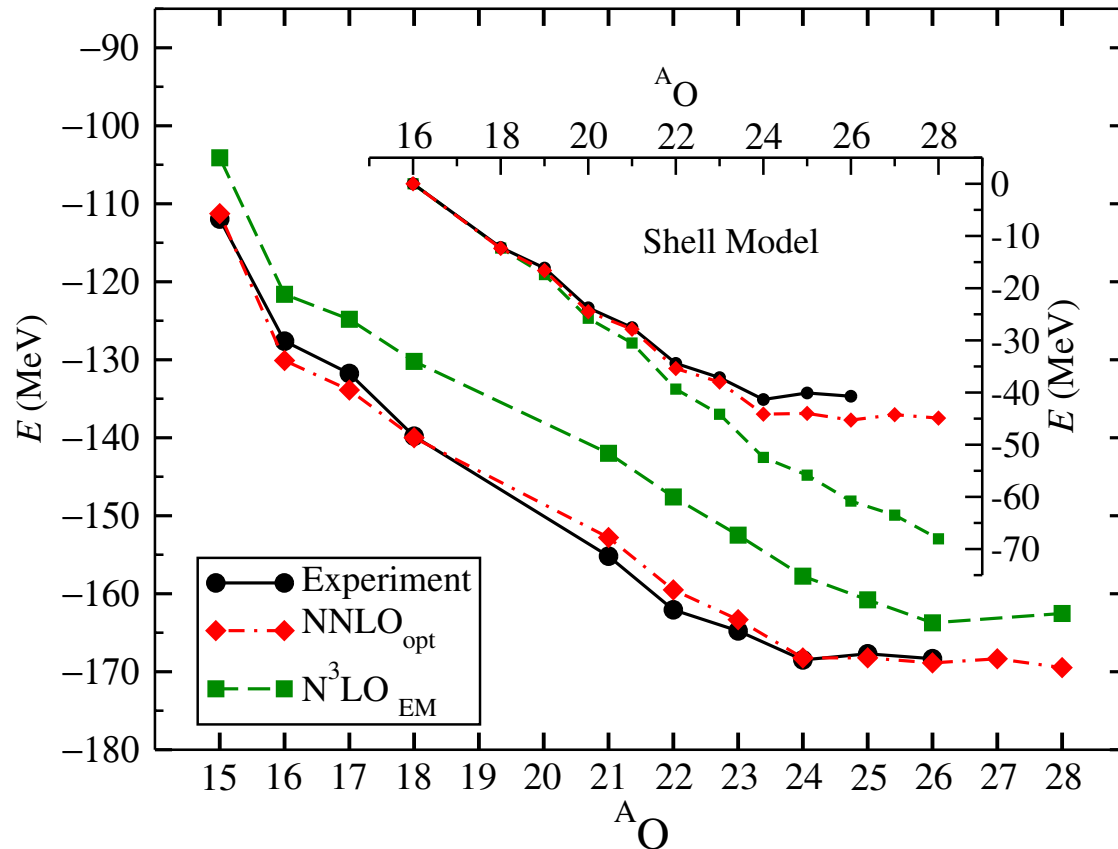
Oxygen dripline reproduced with NN forces only!

Power counting dictates 3N forces be included



# Optimized Chiral Forces N<sup>2</sup>LO NN-Only

Recent calculations at N<sup>2</sup>LO without 3N forces found a remarkable result



Ekström et al (PRL 2013)

Oxygen dripline reproduced with NN forces only

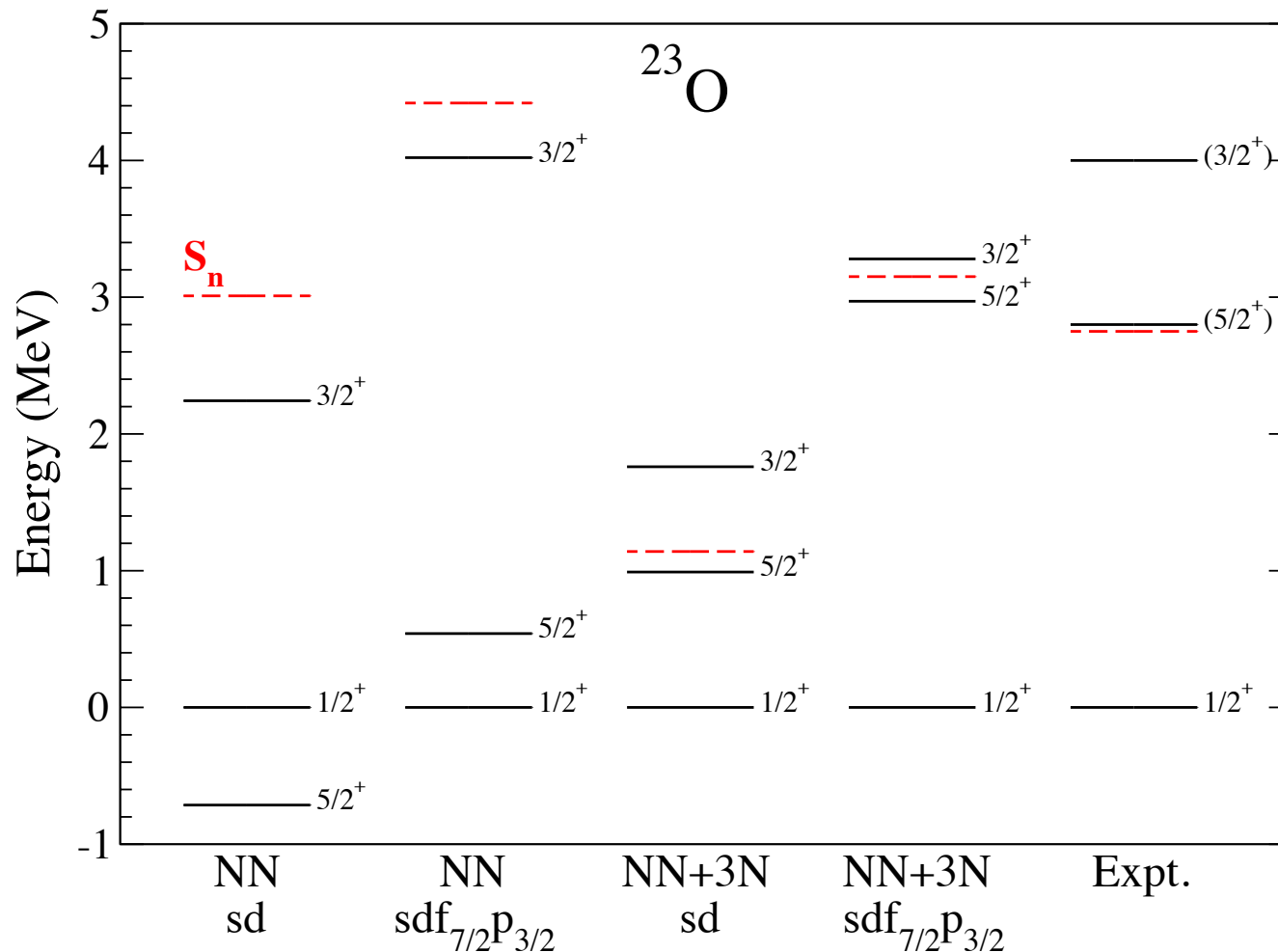
Unnaturally large couplings when 3N fit in <sup>3</sup>H(?) – results off the plot!

**Lesson: 3N forces unavoidable part of theory – must investigate importance**

# Impact on Spectra: $^{23}\text{O}$

Neutron-rich oxygen spectra with NN+3N

$5/2^+$ ,  $3/2^+$  energies reflect  $^{22,24}\text{O}$  shell closures



*sd-shell NN only*

Wrong ground state

$5/2^+$  too low

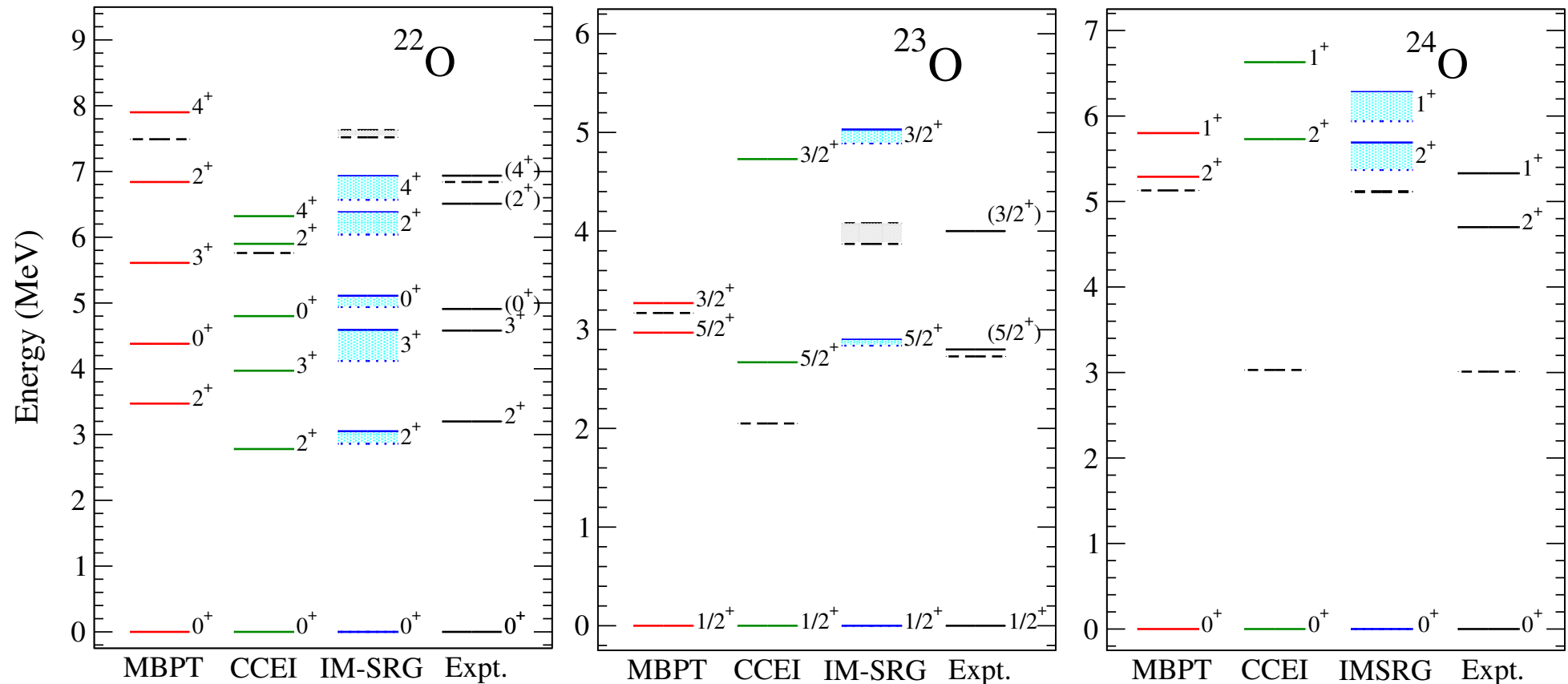
$3/2^+$  bound

*NN+3N*

Clear improvement in  
extended valence space

# Comparison with MBPT/CCEI Oxygen Spectra

Oxygen spectra: Effective interactions from **Coupled-Cluster theory**

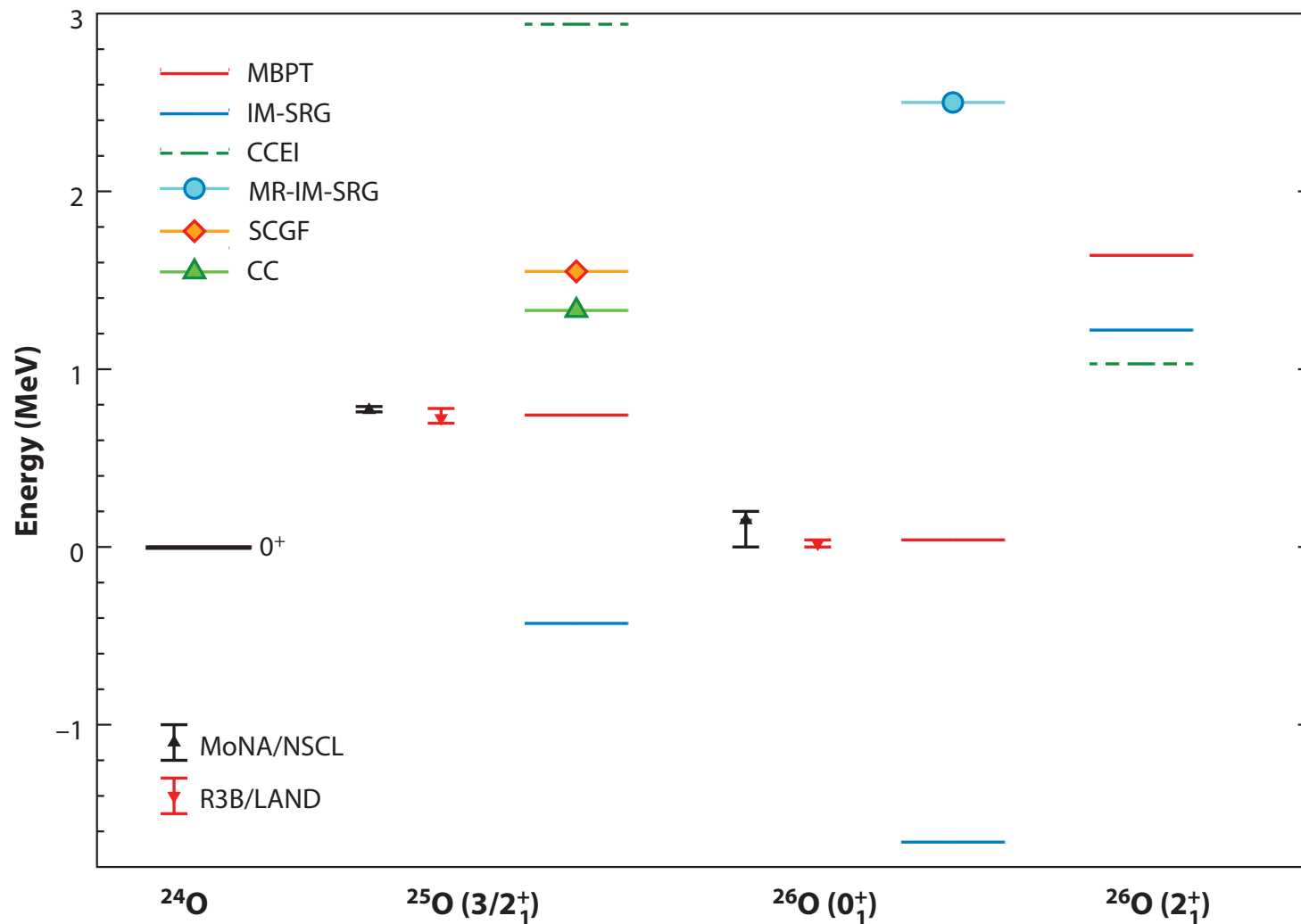


**MBPT** in extended valence space

**IM-SRG/CCEI** spectra agree within  $\sim 300$  keV

# Beyond the Oxygen Dripline

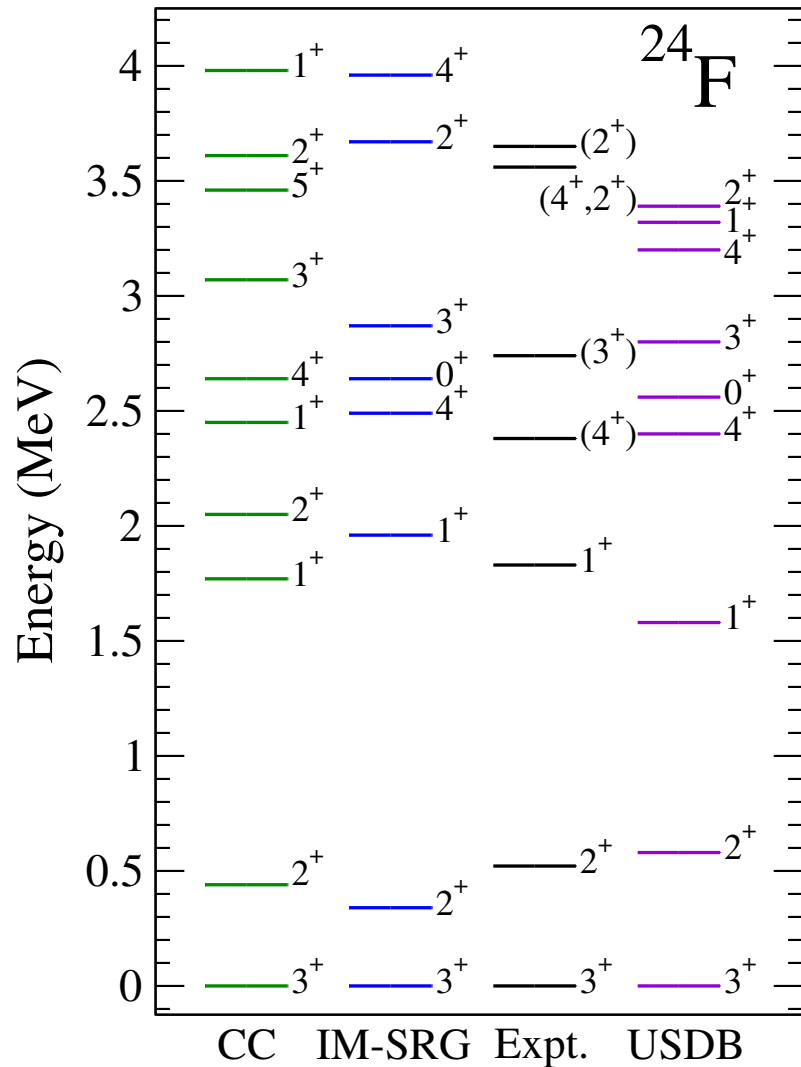
Physics beyond dripline highly sensitive to 3N and continuum effects



Prediction of low-lying  $2^+$  in  $^{26}\text{O}$  (recently measured at RIKEN)

# Experimental Connection: $^{24}\text{F}$ Spectrum

$^{24}\text{F}$  spectrum: **IM-SRG** (*sd* shell), **full CC**, **USDB**



Ekström et al., PRL (2014)

Cáceres et al., arXiv:1501.01166

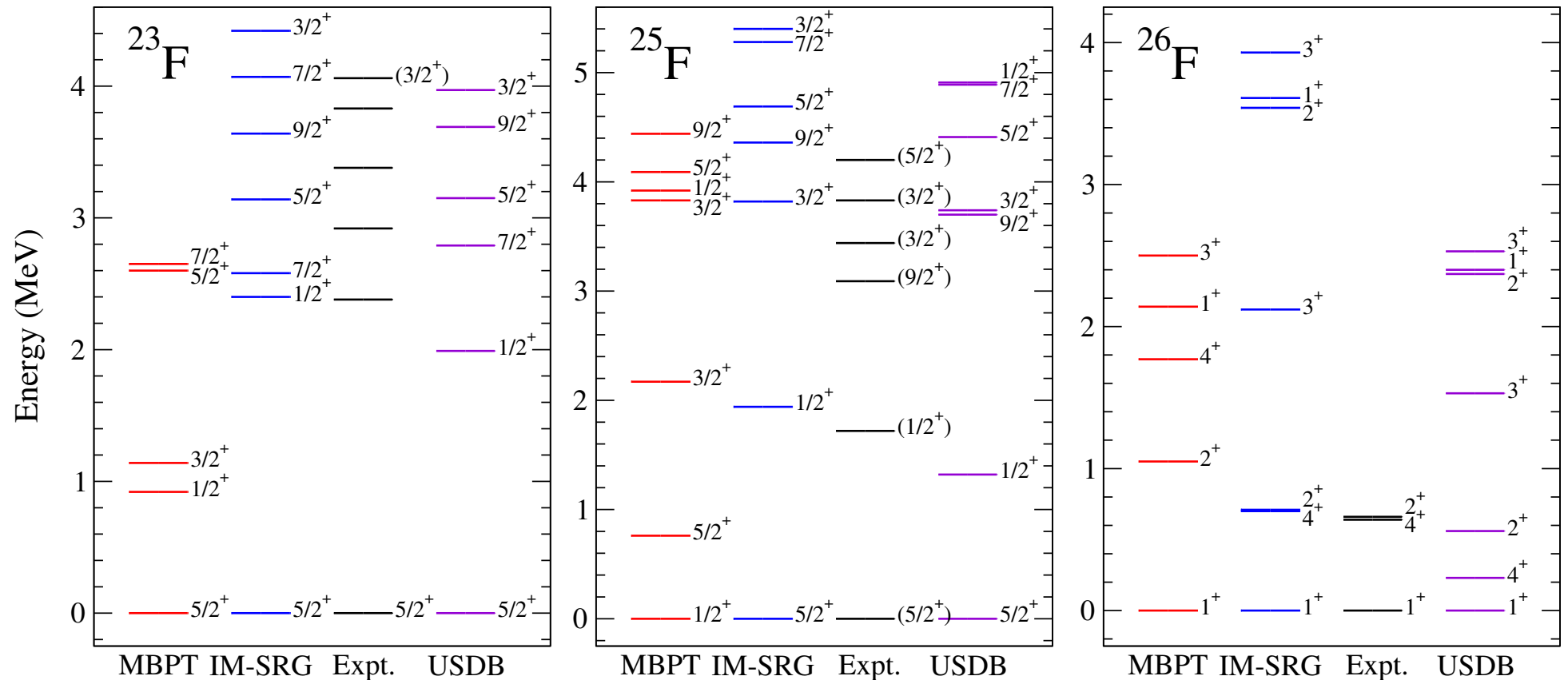
Hebeler, JDH, Menéndez, Schwenk, ARNPS (2015)

**New measurements from GANIL**

**IM-SRG**: comparable with phenomenology, good agreement with new data

# Fully Open Shell: Neutron-Rich Fluorine Spectra

Fluorine spectroscopy: **MBPT** and **IM-SRG** (*sd* shell) from NN+3N forces



Bogner, Hergert, JDH, Schwenk, in prep.

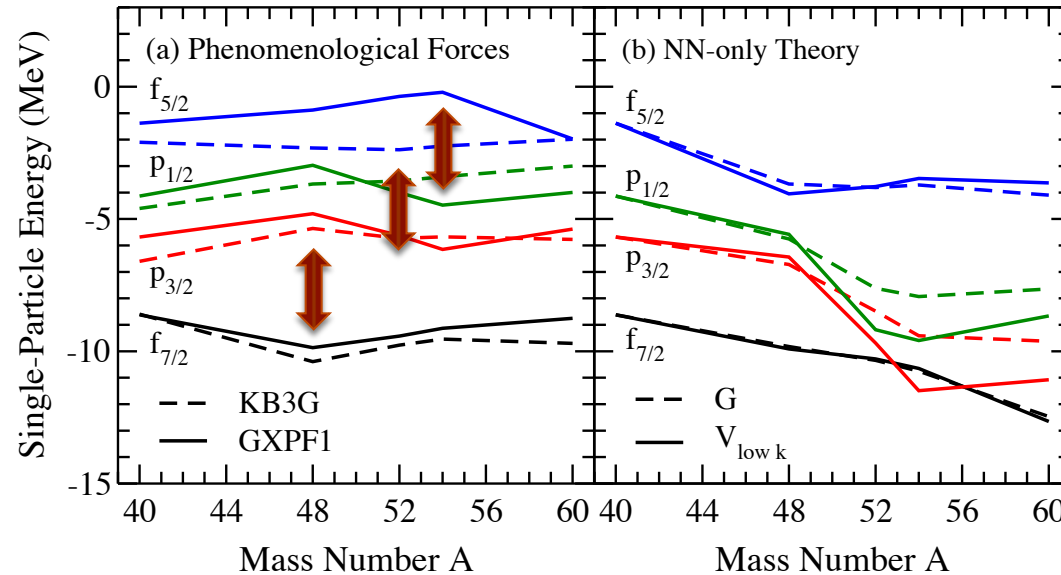
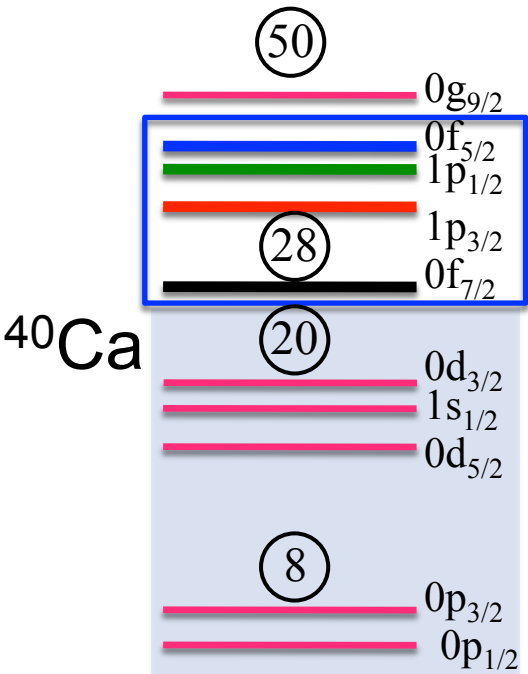
IM-SRG: **competitive with phenomenology**, good agreement with data

## Preliminary results already for scalar operators: charge radii, E0 transitions

Upcoming: general operators M1, E2, GT, double-beta decay **Stroberg et al.**

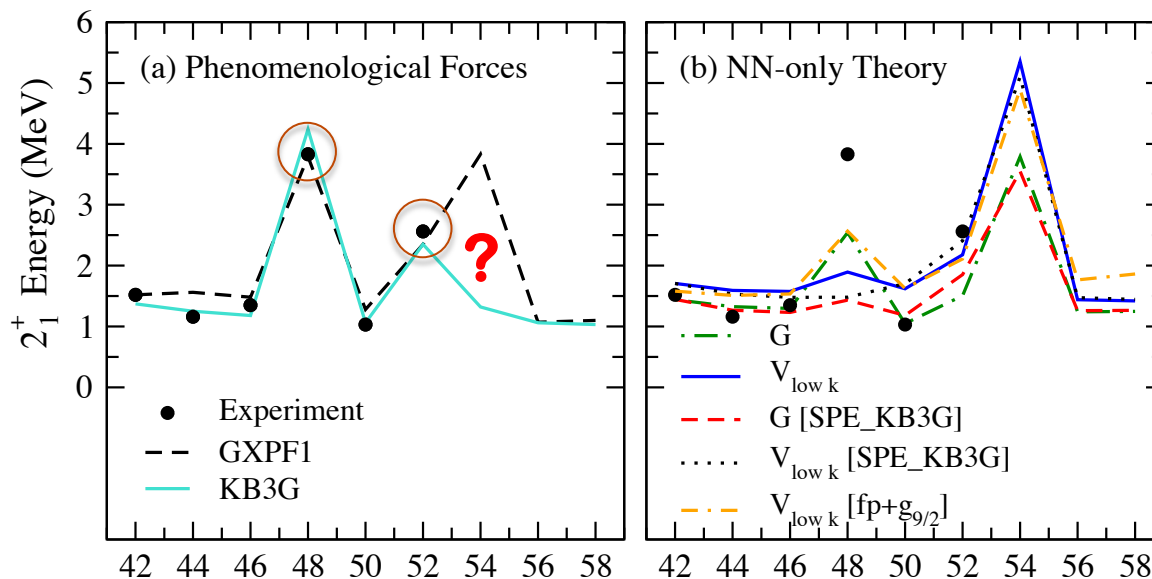


# Calcium Isotopes: Magic Numbers



GXPF1: Honma, Otsuka, Brown, Mizusaki (2004)

KB3G: Poves, Sanchez-Solano, Caurier, Nowacki (2001)



## Phenomenological Forces

Large gap at  $^{48}\text{Ca}$

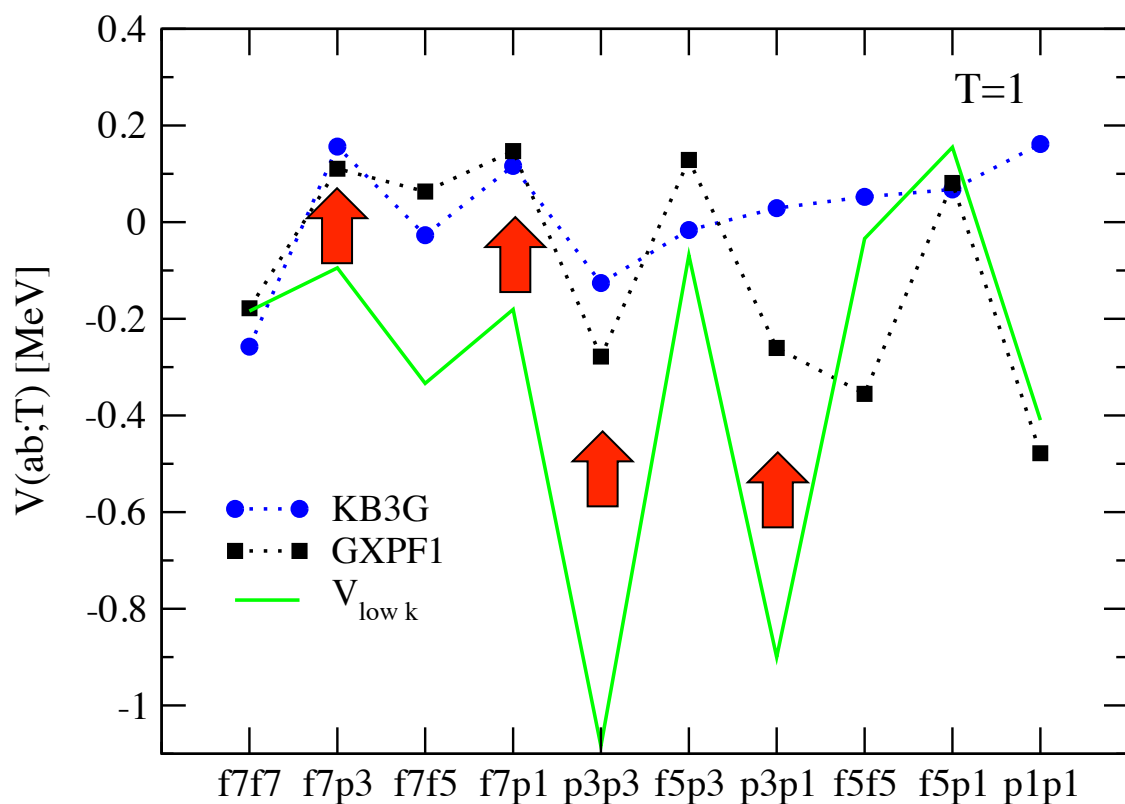
Discrepancy at  $N=34$

## Microscopic NN Theory

Small gap at  $^{48}\text{Ca}$

**N=28: first standard magic number not reproduced in microscopic NN theories**

# Phenomenological vs. Microscopic



Compare monopoles from:

*Microscopic* **low-momentum** interactions

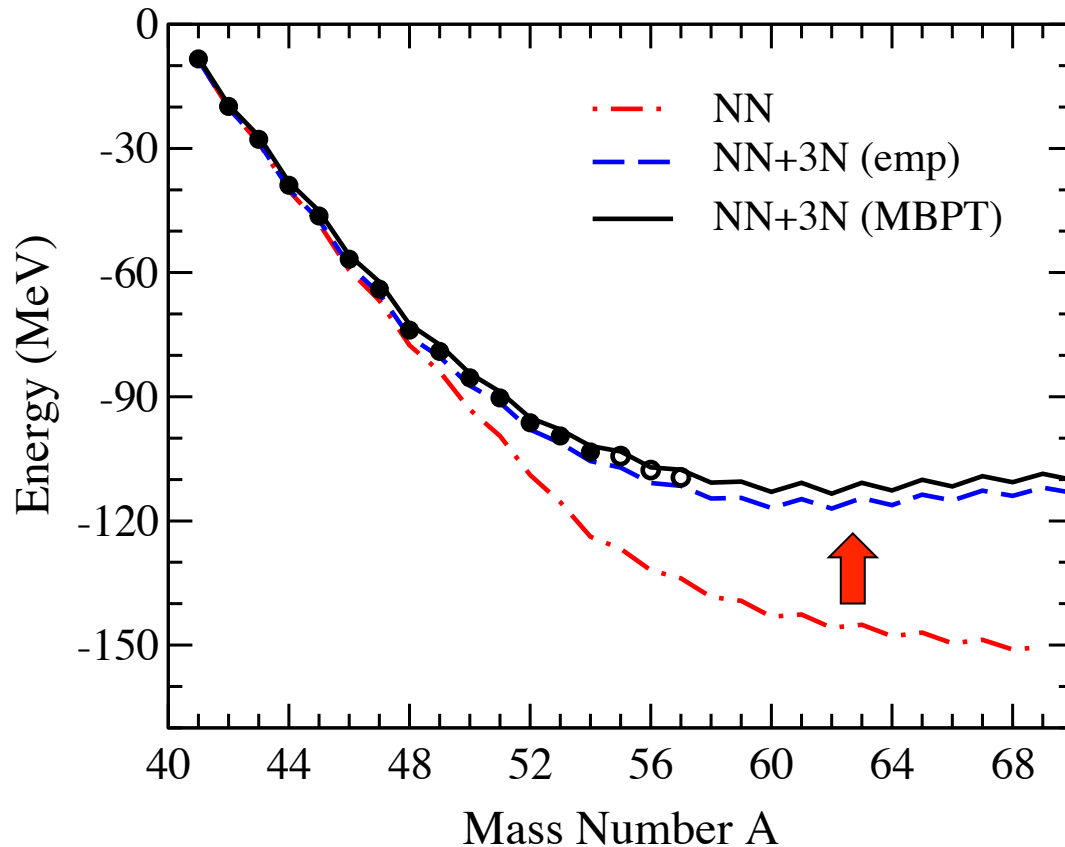
*Phenomenological* **KB3G, GXPF1** interactions

Shifts in **low-lying orbitals**:

- T=1 repulsive shift

# Calcium Ground State Energies and Dripline

Signatures of shell evolution from ground-state energies?



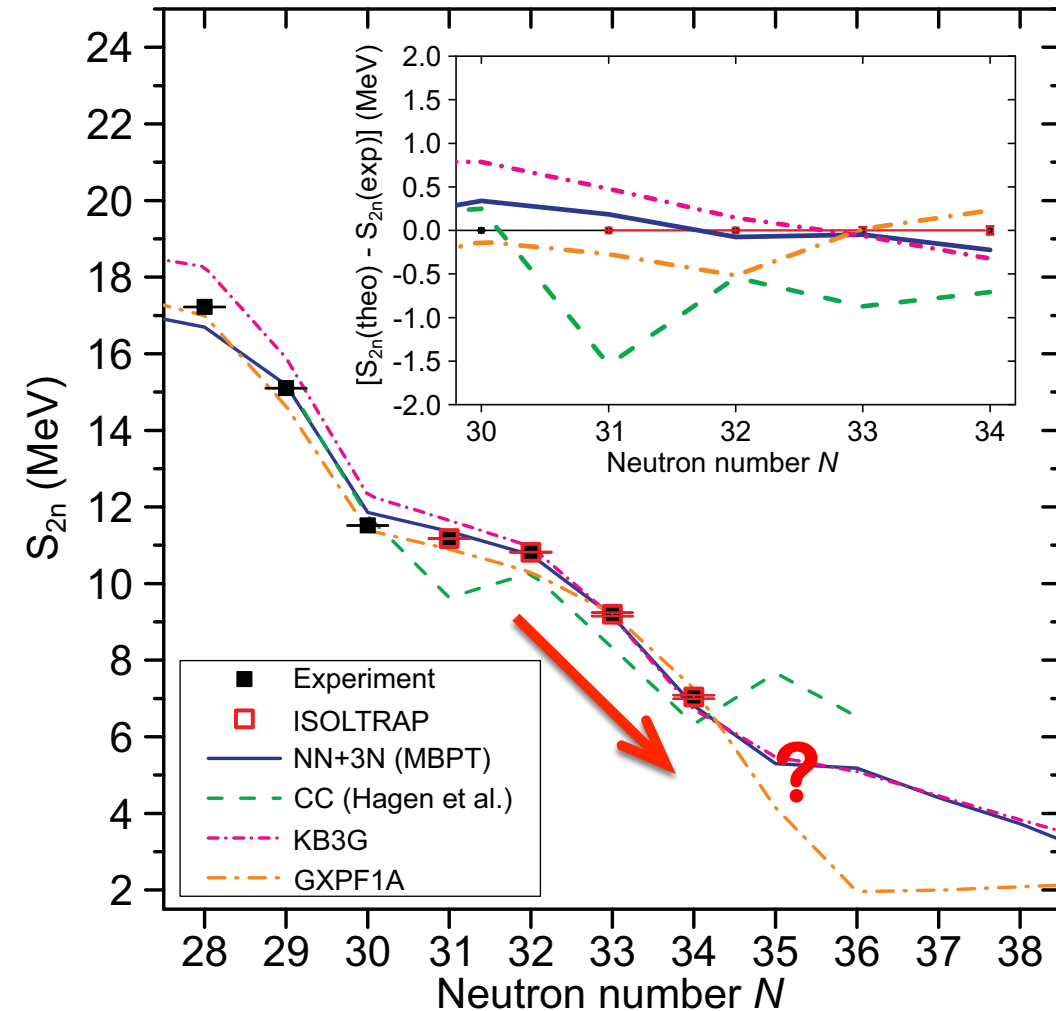
Holt, Otsuka, Schwenk, Suzuki, JPG (2012)

No clear dripline; flat behavior past  $^{54}\text{Ca}$  – **Halos beyond  $^{60}\text{Ca}$ ?**

$S_{2n} = -[BE(N, Z) - BE(N - 2, Z)]$  **sharp decrease indicates shell closure**

# Experimental Connection: Mass of $^{54}\text{Ca}$

New precision mass measurement of  $^{53,54}\text{Ca}$  at **ISOLTRAP**: multi-reflection ToF



Wienholtz et al., Nature (2013)

## TITAN Measurement

Flat trend from  $^{50-52}\text{Ca}$

Mass  $^{52}\text{Ca}$  1.74 MeV from AME

## ISOLTRAP Measurement

Sharp decrease past  $^{52}\text{Ca}$

Unambiguous closed-shell  $^{52}\text{Ca}$

Test predictions of various models

## MBPT NN+3N

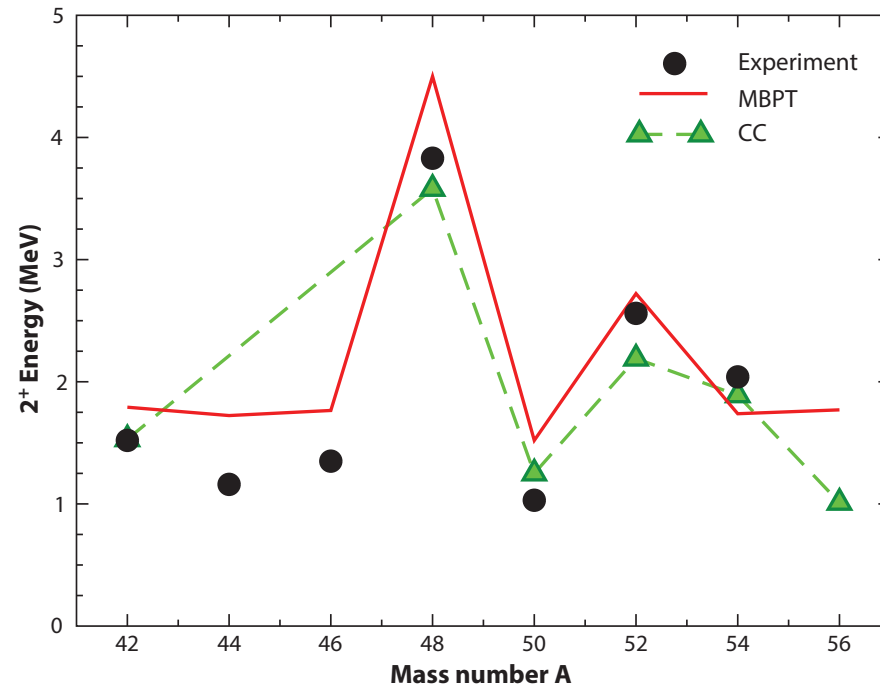
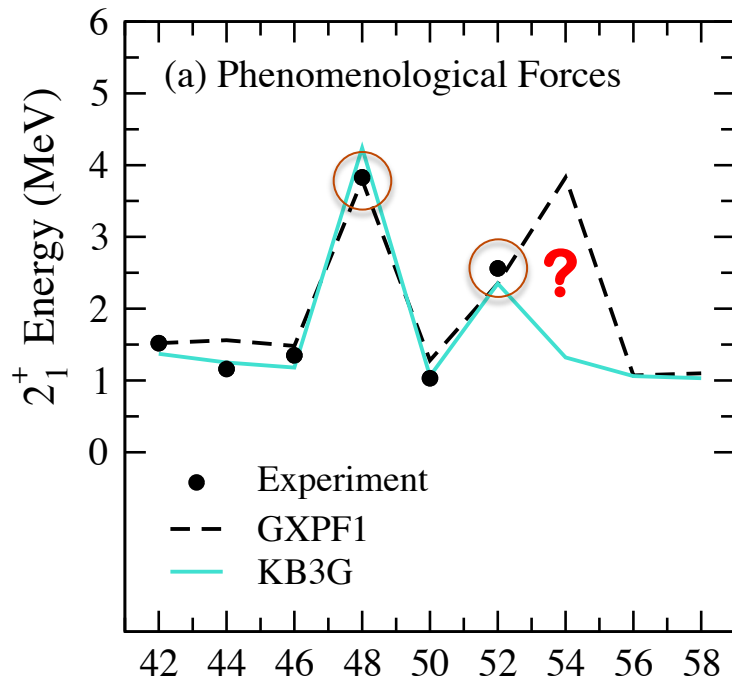
Excellent agreement with new data

Reproduces closed-shell  $^{48,52}\text{Ca}$

Weak closed shell signature past  $^{54}\text{Ca}$

**N=34 magic number in calcium?**

# Calcium Isotopes: Magic Numbers



GXPF1: Honma, Otsuka, Brown, Mizusaki (2004)

KB3G: Poves, Sanchez-Solano, Caurier, Nowacki (2001)

## *Phenomenological Models*

Large gap at  $^{48}\text{Ca}$ , discrepancy at  $N=34$

## *Ab initio theories*

Reproduce all new magic numbers, **consistent predictions**

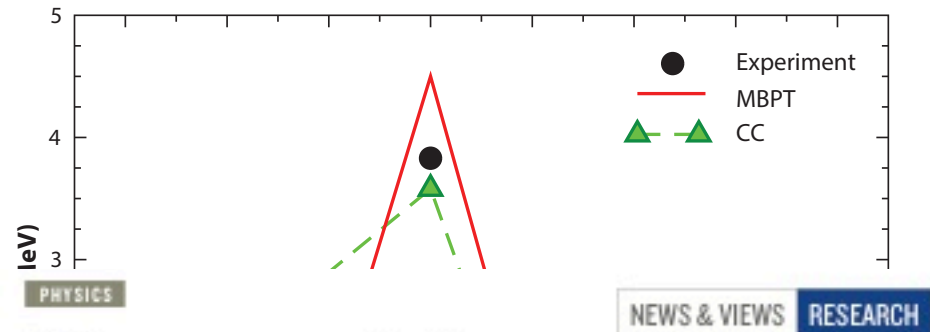
# Calcium Isotopes: Magic Numbers



## LETTER

### Evidence for a new nuclear ‘magic number’ from the level structure of $^{54}\text{Ca}$

D. Steppenbeck<sup>1</sup>, S. Takeuchi<sup>2</sup>, N. Aoi<sup>3</sup>, P. Doornenbal<sup>2</sup>, M. Matsushita<sup>1</sup>, H. Wang<sup>2</sup>, H. Baba<sup>2</sup>, N. Fukuda<sup>2</sup>, S. Go<sup>1</sup>, M. Honma<sup>4</sup>, J. Lee<sup>2</sup>, K. Matsui<sup>5</sup>, S. Michimasa<sup>1</sup>, T. Motobayashi<sup>2</sup>, D. Nishimura<sup>6</sup>, T. Otsuka<sup>1,5</sup>, H. Sakurai<sup>2,5</sup>, Y. Shiga<sup>7</sup>, P.-A. Söderström<sup>2</sup>, T. Sumikama<sup>8</sup>, H. Suzuki<sup>2</sup>, R. Taniuchi<sup>5</sup>, Y. Utsuno<sup>9</sup>, J. J. Valiente-Dobón<sup>10</sup> & K. Yoneda<sup>2</sup>



## Heavy calcium nuclei weigh in

The configurations of calcium nuclei make them good test cases for studies of nuclear properties. The measurement of the masses of two heavy calcium nuclei provides benchmarks for models of atomic nuclei. [SEE LETTER P.346](#)

ALEXANDRA GADE

quarks and gluons, which interact to form

## LETTER

doi:10.1038/nature12226

### Masses of exotic calcium isotopes pin down nuclear forces

F. Wienholtz<sup>1</sup>, D. Beck<sup>2</sup>, K. Blaum<sup>3</sup>, Ch. Borgmann<sup>3</sup>, M. Breitenfeldt<sup>4</sup>, R. B. Cakirli<sup>3,5</sup>, S. George<sup>1</sup>, F. Herfurth<sup>2</sup>, J. D. Holt<sup>6,7</sup>, M. Kowalska<sup>8</sup>, S. Kreim<sup>3,8</sup>, D. Lunney<sup>9</sup>, V. Manea<sup>9</sup>, J. Menéndez<sup>6,7</sup>, D. Neidherr<sup>2</sup>, M. Rosenbusch<sup>1</sup>, L. Schweikhard<sup>1</sup>, A. Schwenk<sup>7,6</sup>, J. Simonis<sup>6,7</sup>, J. Stanja<sup>10</sup>, R. N. Wolf<sup>1</sup> & K. Zuber<sup>10</sup>

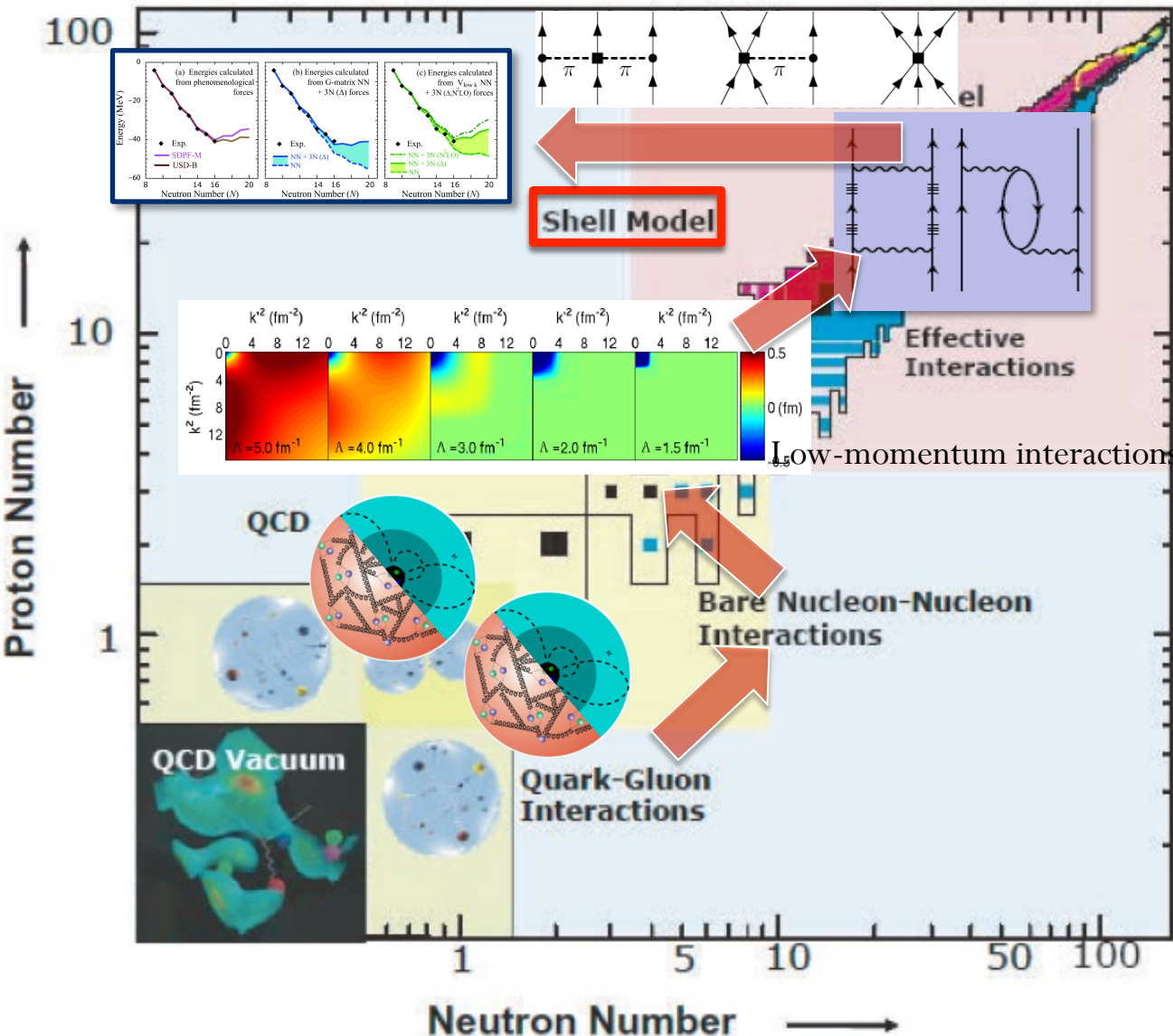
doi:10.1038/nature12522

t predictions



# The Challenge of Microscopic Nuclear Theory

To understand the properties of complex nuclei from elementary interactions



## Three-Nucleon Forces

Clear path from symmetries of QCD to shell model

Ideas of:

Effective field theories

Renormalization group

Advances in many-body

Advances in computing

All essential for this progress

**Still much to do!!**

How will we approach this problem:

QCD → NN (3N) forces → Renormalize → Solve many-body problem → Predictions

# New Directions and Outlook

**Heavier semi-magic chains: MBPT as guide**

**Ab initio valence-shell Hamiltonians**

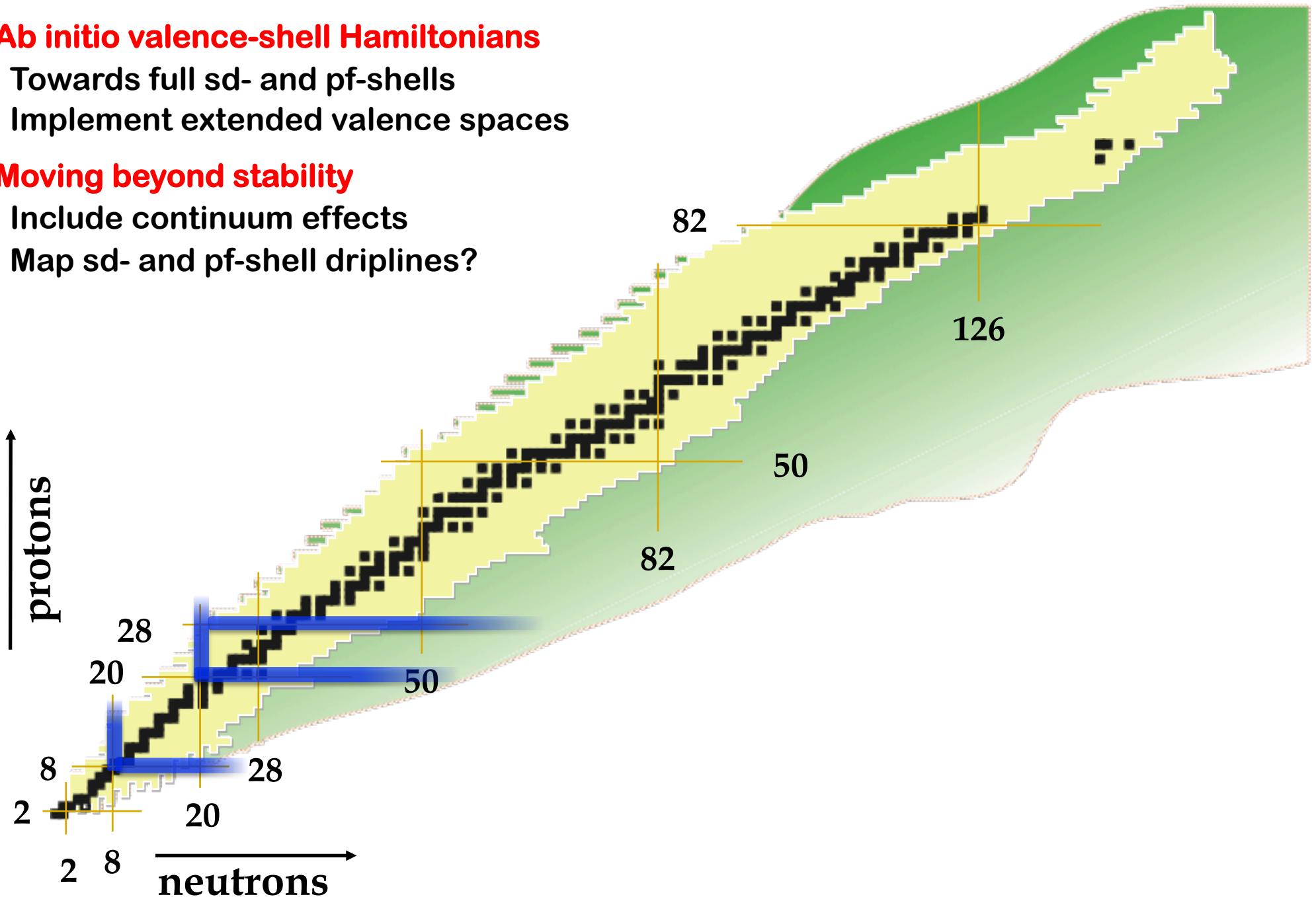
Towards full sd- and pf-shells

Implement extended valence spaces

**Moving beyond stability**

Include continuum effects

Map sd- and pf-shell driplines?





# New Directions and Outlook

**Heavier semi-magic chains: MBPT as guide**

**Ab initio valence-shell Hamiltonians**

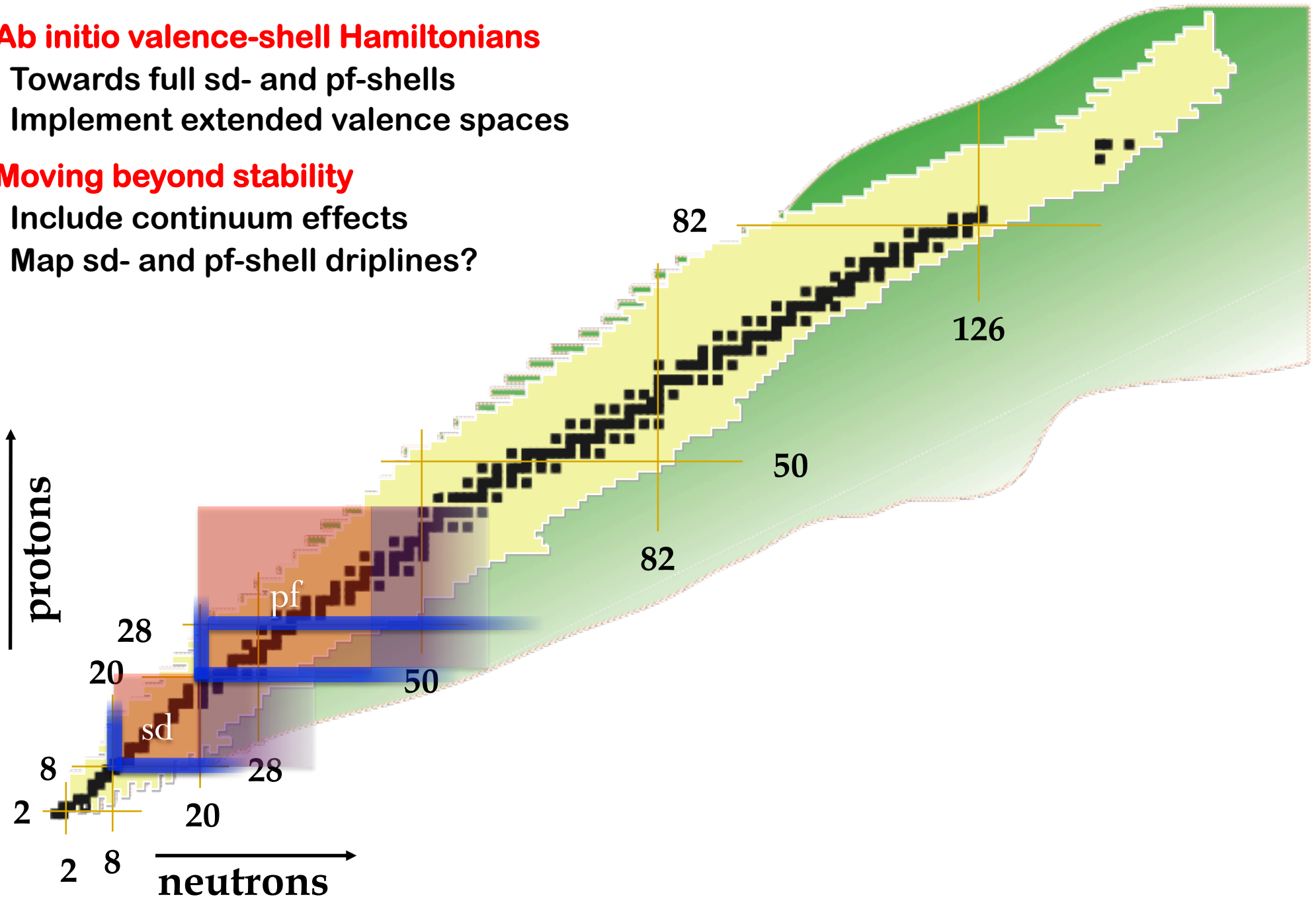
Towards full sd- and pf-shells

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# New Directions and Outlook

**Heavier semi-magic chains: MBPT as guide**

**Fundamental symmetries**

**Ab initio valence-shell Hamiltonians**

Towards full sd- and pf-shells

Implement extended valence spaces

Effective electroweak operators

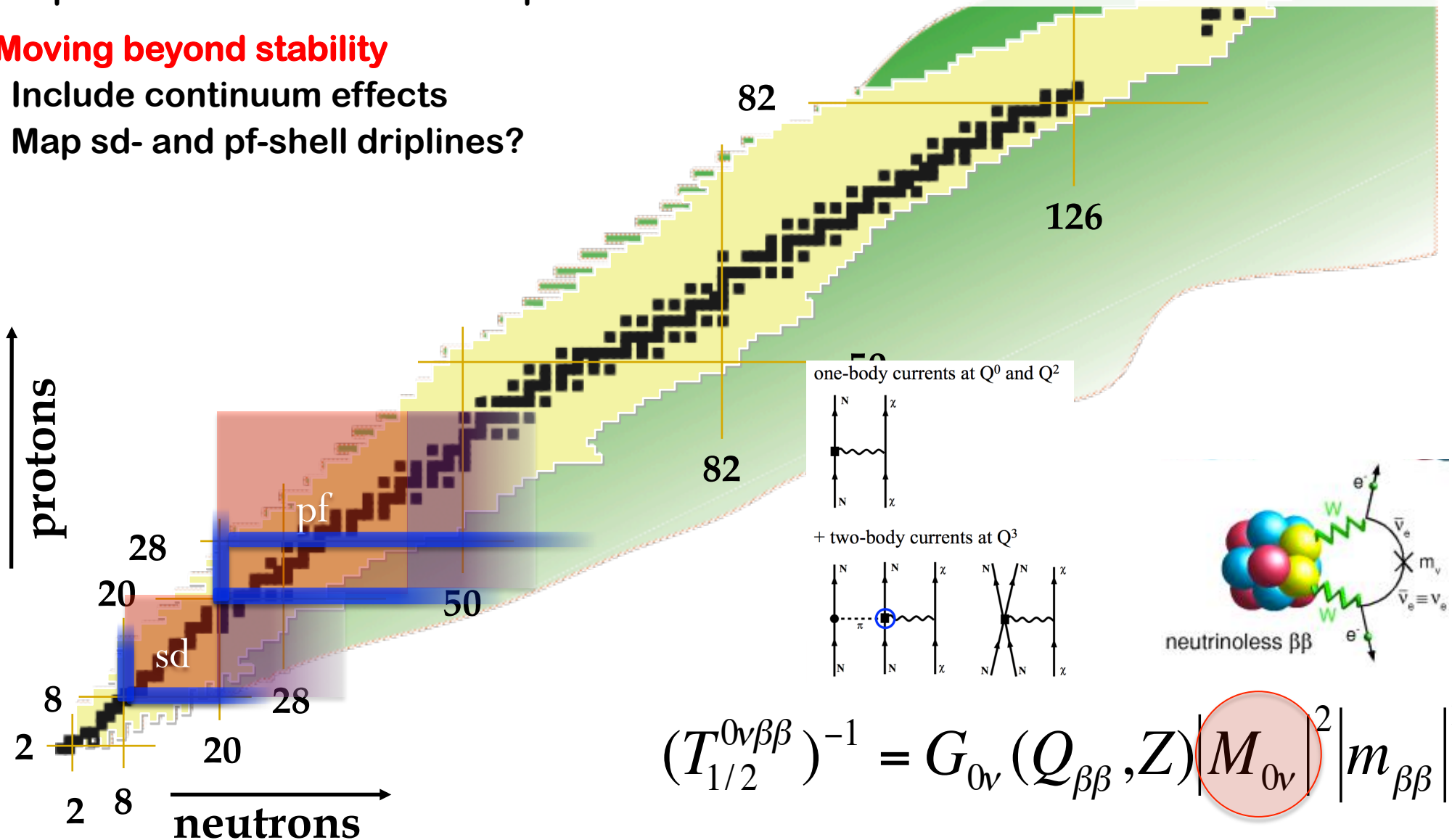
ab initio calculation of  $0\nu\beta\beta$  decay

WIMP-nucleus scattering

**Moving beyond stability**

Include continuum effects

Map sd- and pf-shell driplines?

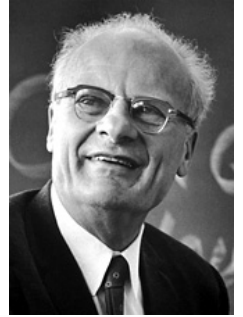


$$(T_{1/2}^{0\nu\beta\beta})^{-1} = G_{0\nu}(Q_{\beta\beta}, Z) \left| M_{0\nu} \right|^2 \left| m_{\beta\beta} \right|^2$$

# Final Thought

“Very soft (NN) potentials must be excluded because they do not give saturation; they give too much binding and too high density.”

- *H. Bethe*

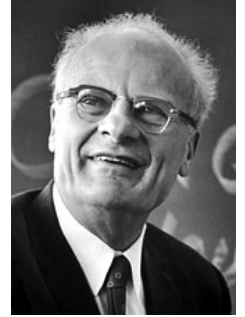


*How might you respond?*

# Final Thought

“Very soft (NN) potentials must be excluded because they do not give saturation; they give too much binding and too high density.”

- *H. Bethe*



*How might you respond?*

## Further Reading

Lepage, nucl-th/9706029 (1997)

Epelbaum, Hammer, Meißner, Rev. Mod. Phys. (2009)

Machleidt, Entem, Phys. Rep. (2011)

Bogner, Furnstahl, Schwenk, Prog. Part. Nucl. Phys. (2010)

Hebeler, Holt, Menendez, Schwenk, Ann. Rev. Nucl. Part. Sci. (2015)

Thanks to (ie, results, plots, ideas, entire slides, jokes etc., used without citation from):

**Scott Bogner, Angelo Calci, Thomas Duguet, Dick Furnstahl, Alex Gezerlis, Gaute Hagen, Kai Hebeler, Heiko Hergert, Herman Krebs, Javier Menendez, Petr Navratil, Achim Schwenk, Johannes Simonis, Ragnar Stroberg**